FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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| l | OMB Number: | 3235-0287 |
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| l | Estimated average burden | |
| l | hours per response: | 0.5 |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person* Dyson Karen Elizabeth | | | 2. Issuer Name and Ticker or Trading Symbol GENWORTH FINANCIAL INC [GNW] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
|---|--|-------|--|---|-------------------------------------|---------------------------------------|--|--|--|
| (Last) (First) (Middle) | | , | 3. Date of Earliest Transaction (Month/Day/Year) 05/18/2023 | X | Director Officer (give title below) | 10% Owner Other (specify below) | | | |
| C/O GENWORTH FINANCIAL, INC. 6620 WEST BROAD STREET (Street) | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | mendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Ap X Form filed by One Reporting Pers Form filed by More than One Rep | | | | | |
| RICHMOND VA 23230 | | 23230 | Rule 10b5-1(e) Transaction Indication | | | | | | |
| (City) (State) (Zip) | | | Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. | | | | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | Date | 2A. Deemed Execution Date, if any (Month/Day/Year) | Transaction Code (Instr. | | 4. Securities Acq Of (D) (Instr. 3, 4 | | | Securities Beneficially Owned Following Reported | Form: Direct (D) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|------------|---|--------------------------|--|--|---------------|------------|--|------------------|---|
| | | | Code V | | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | |
| Class A Common Stock | 05/18/2023 | | A | | 27,412.281(1) | A | \$5.472(2) | 27,412.281 | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transac Code (In 8) | | Disposed of | rivative Expiration Date Curities (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|---|---|------------|---|---------------------------------|---|-------------|--|---------------------|--|----------------------------|---|--|--|--|--|
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | Transaction(s) (Instr. 4) | | |
| Deferred Stock Units | (3) | 05/18/2023 | | A | | 3,539.498 | | (3) | (3) | Class A Common Stock | 3,539.498 | (4) | 72,659.933 | D | |

Explanation of Responses:

- 1. Reflects award of restricted stock units (RSUs) in payment of a portion of the reporting person's annual retainer fee. The RSUs vest on the one-year anniversary of the grant date, and convert to shares of Class A Common Stock upon vesting (unless the reporting person elected to defer receipt of the shares until termination of service as a director or to a future specified year).
- 2. Reflects the twenty-day average trading price per share of Class A Common Stock.
- 3. Deferred Stock Units become payable in shares of Class A Common Stock beginning one year after termination of service as a director. The Reporting Person has previously elected to receive such amount in a single payment or in payments spread out for up to 10 years.
- 4. The number of Deferred Stock Units acquired represents a pro-rata payment of a quarterly portion of the Reporting Person's annual retainer fee and was based on a twenty-day average trading price per share of Class A Common Stock of \$5.472

Remarks:

/s/ David F. Kurzawa, by power of attorney 05/22/2023

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.