SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Ш

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

4 THE	(l		0 T	OA Deserved		A Converting Approximated (A) a		C. A	6 Oursership	7 Nature of		
		Table I - No	n-Derivative \$	Securities Acq	luired, Disp	osed of, or Beneficia	ally Ow	ned				
(City)	(State)	(Zip)										
RICHMOND	VA	23230										
(Street)								Form filed by One				
6620 WEST BROAD STREET			4. If Ame	4. If Amendment, Date of Original Filed (Month/Day/Year)				6. Individual or Joint/Group Filing (Check Applicable Line)				
C/O GENWORTH FINANCIAL, INC.								EVP and Ge	eneral Counsel			
(Last)	(First)	(Middle)	3. Date 0 02/17/2	of Earliest Transacti 2023	ion (Month/Day/	Year)	x	Officer (give title below)		(specify		
1. Name and Address of Reporting Person* Karawan Gregory S.				Name and Ticker	0,		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					

I. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	tion Date, Transaction I Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	(Instr. 3 and 4)		(1150.4)
Class A Common Stock	02/17/2023		М		30,864	A	(1)	100,215	D	
Class A Common Stock	02/17/2023		F		9,861 ⁽²⁾	D	\$6.23	90,354	D	
Class A Common Stock								4,755.795	I	by 401(k)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts	, calls, wa	arrants, option	s, convertible securitie	s)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (In 8)		Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Restricted Stock Units	(1)	02/17/2023		М			30,864	(3)	(3)	Class A Common Stock	30,864	\$0.00	61,729	D	

Explanation of Responses:

1. Restricted Stock Units settle in Class A Common Stock on a 1:1 basis.

2. The Company withheld shares of common stock to satisfy the tax withholding obligation for the Reporting Person's Restricted Stock Units that vested on February 17, 2023.

3. Restricted Stock Units vested and converted to Class A Common Stock on February 17, 2023.

Remarks:

/s/ David F. Kurzawa, by power of 02/22/2023 attorney Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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