FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

| OMB APPROVAL             |           |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|
| OMB Number:              | 3235-0287 |  |  |  |  |  |  |
| Estimated average burden |           |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     Mills Howard D. III                                      |  |  |   |                                | 2. Issuer Name and Ticker or Trading Symbol GENWORTH FINANCIAL INC [ GNW ] |   |  |                     |  |                   |  |          |                               | ionship of Reporting Person(<br>all applicable)<br>Director |  |                    | (s) to Issuer  |  |
|--|--|--|---|--------------------------------|--|---|--|---------------------|--|-------------------|--|----------|-------------------------------|---|--|--------------------|--|--|
| (Last)   | (First)  | (1   | Middle)   |                                | 3. Date of Earliest Transaction (Month/Day/Year) 03/31/2022                |   |  |                     |  |                   |  |          | Officer (g<br>below)          | ve title  |  | Other (s<br>below) | pecify   |  |
| C/O GENWORTH FINANCIAL, INC. 6620 WEST BROAD STREET  |  |  |   |                                | 4. If Amendment, Date of Original Filed (Month/Day/Year)                   |   |  |                     |  |                   |  | 6. Indiv |                               |   |  |                    |  |  |
| (Street) RICHMOND  | VA   | 2  | 23230   |                                |  |   |  |                     |  |                   |  |          |                               | Form filed  | d by More t  | than O             | ne Reportinç   | g Person   |
| (City)   | (State   | e) (2                                      | Zip)  |                                |  |   |  |                     |  |                   |  |          |                               |   |  |                    |  |  |
|  |  | T  | able I - Non  | -Deriva                        | tive S   | ecuritie  | s Acc  | quired, [           | )isp   | osed o            | f, or Ber  | nefici   | ally Ow                       | ned   |  |                    | ,  |  |
| Date   |  |  |   | 2. Transa<br>Date<br>(Month/Da |  | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |  | Transaction Dispos  |  |                   | ities Acquir<br>d Of (D) (Ins  |          |                               | nd 5) Securities<br>Beneficiall<br>Following                |  | Form               | Direct (D)<br>irect (I)<br>4)  | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership                |
|  |  |  |   |                                |  |   |  | Code                | v  | Amount (A) or (D) |  | Price    | Transaction (Instr. 3 and     |   |  |                    | (Instr. 4)   |  |
|  | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |  |   |                                |  |   |  |                     |  |                   |  |          |                               |   |  |                    |  |  |
| 1. Title of Derivative Security (Instr. 3)  2. Conversion or Exercise Price of Derivative Security |  | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Code                           | Transaction Code (Instr.   |   | 5. Number of<br>Derivative<br>Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and<br>5) |                     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                   | 7. Title and Amount of<br>Securities Underlying<br>Derivative Security (Instr.<br>3 and 4) |          |                               | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)         | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) |                    | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|  |  |  |   | Code                           | v  | (A)   | (D)  | Date<br>Exercisable |  | xpiration<br>ate  | Title  | N        | nount or<br>umber of<br>nares |   | (Instr. 4)   | on(s)              |  |  |
| Deferred Stock<br>Units  | (1)  | 03/31/2022                                 |   | A                              |  | 9,907.53  |  | (1)                 |  | (1)               | Class A<br>Common<br>Stock   | 9        | ,907.53                       | (2)   | 39,218.8   | 365                | D  |  |

## **Explanation of Responses:**

- 1. Deferred Stock Units become payable in shares of Class A Common Stock beginning one year after termination of service as a director. The Reporting Person has previously elected to receive such amount in a single payment or in payments spread out for up to 10 years.
- 2. The number of Deferred Stock Units acquired represents a portion of the Reporting Person's annual retainer fee and was based on a price of \$3.785 per share of Class A Common Stock.

## Remarks:

/s/ David F. Kurzawa, by power of attorney 04/01/2022

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.