FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| OMB APPROVAL | | | | | | | | |
|--------------------------|-----|--|--|--|--|--|--|--|
| OMB Number: 3235-028 | | | | | | | | |
| Estimated average burden | | | | | | | | |
| hours per response: | 0.5 | | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person Gupta Rohit | | | | | <u>GE</u> | 2. Issuer Name and Ticker or Trading Symbol GENWORTH FINANCIAL INC [GNW] | | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owne | | | | vner |
|--|---|----------------------------------|---|--|---|--|---|-------|---|--------------------------|--------------------|--|--|-------------------------------------|--|---|--|--|--|
| (Last) | (First) | (Mi | iddle) | | 3. Date of Earliest Transaction (Month/Day/Year) 03/01/2022 | | | | | | | | X | Officer (give title below) | | | Other (s below) | specify | |
| C/O GENWORTH FINANCIAL, INC. | | | | | | | | | | | | | | President & CEO, Enact | | | | | |
| 6620 WEST BROAD STREET | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | 6. Indiv | Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person | | | | | | |
| (Street) RICHMOND | OND VA 23230 | | | | | | | | | | | | | | | - | | ne Reportin | g Person |
| (City) | (State) | (Zi | p) | | | | | | | | | | | | | | | | |
| | | Та | ıble I - Nor | า-Der | ivativ | e S | ecuritie | s Acq | uired, l | Disp | osed of, | or E | Benefi | cially Ov | /ned | | | | |
| Date | | | ransaction e nth/Day/Year) | | 2A. Deemed Execution Date, if any (Month/Day/Year) | | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and | | | | nd 5) Securities Beneficia Following | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | 7. Nature of Indirect Beneficial Ownership | | |
| | | | | | | | | Code | v | Amount | | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | | | (Instr. 4) | |
| Class A Common Stock 03/ | | | | 03/0 | 3/01/2022 | | | | A | | 270,272(1) | | Α | \$0.00 | 360,963 | | | D | |
| Class A Common Stock 03/0 | | | | 01/2022 | | | | F | | 120,109 ⁽²⁾ I | | D | \$4 | 240,854 | | D | | | |
| Class A Common Stock | | | | | | | | | | | | | | 7,161.713 | | | I | by 401(k) | |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | ercise (Month/Day/Year) of utive | 3A. Deemed Execution Da if any (Month/Day/\) | ate, | Code (Instr. | | | | 6. Date Exerc Expiration Da (Month/Day/Y | | te | 7. Title and Amo Securities Under Derivative Secur (Instr. 3 and 4) | | derlying curity) | 8. Price of Derivative Security (Instr. 5) | 9. Number derivative Securities Beneficial Owned Following Reported Transactio | e C s F lly C | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | | Code V | | (A) (D) | | Date Exercisable | | Expiration Date | Or N | | Amount or Number of Shares | | (Instr. 4) | | | |

Explanation of Responses:

- 1. Reflects Performance Stock Units that were granted on May 16, 2019 and fully vested on March 1, 2022, and settled in Class A Common Stock on a 1:1 basis.
- 2. The Company withheld shares of common stock to satisfy the tax withholding obligation for the Reporting Person's Performance Stock Units that vested on March 1, 2022.

Remarks:

/s/ David F. Kurzawa, by power of attorney 03/02/2022

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.