SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Ш

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address <u>Hagerman Mel</u>				r Name <b>and</b> Ticker of WORTH FINA	0,		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) C/O GENWORTH	(First) I FINANCIAL, INC	(Middle)	3. Date 02/17/2	of Earliest Transaction 2022	on (Month/Day/	Year)	x	Officer (give title below) EVP and Ch	Other below) ief HR Officer	(specify		
6620 WEST BROAD STREET				endment, Date of Or	iginal Filed (Mo	onth/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(Street) RICHMOND	VA	23230						Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)										
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
Date			2. Transaction Date (Month/Day/Year)	1 '	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		

								Code	v	Amount	(A) (D)	or	Price	(Instr. 3 an				(1130.4)
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code (Ins	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	Owned Following Reported	y	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
								Date	Exp	piration			Amount or Number		Transactio (Instr. 4)	n(s)		

Exercisable

(D)

92,593

(2)

Title

Class A

Common

Stock

Date

## Explanation of Responses:

(1)

1. Restricted Stock Units settle in Class A Common Stock on a 1:1 basis.

02/17/2022

2. Restricted Stock Units vest and convert to Class A Common Stock in three equal annual installments beginning on February 17, 2023.

Code • (A)

Α

## Remarks:

Restricted Stock

Units

/s/ David F. Kurzawa,	by power of	02/18/2022
<u>attorney</u>		02/10/2022

\$0.00

92,593

D

\*\* Signature of Reporting Person Date

of Shares

92,593

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{*}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5