SEC Form 4

1.

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 on 30(h) of the Ir nent Con ny Act of 1940 or Sactio

			01000		vestment sonn								
1. Name and Address of Reporting Person <sup>*</sup> Conrad G Kent				Name <b>and</b> Ticker o VORTH FINA	0,		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner						
(Last)				f Earliest Transactio	on (Month/Day/	Year)		Director Officer (give title below)	specify				
C/O GENWORTH FINANCIAL, INC. 6620 WEST BROAD STREET				ndment, Date of Ori	iginal Filed (Mo	nth/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person						
(Street)	VA	23230						Form filed by More	than One Reportir	ng Person			
(City)	(State)	(Zip)											
		Table I - No	n-Derivative S	ecurities Acq	uired, Disp	osed of, or Beneficia	lly Ow	ned					
Date			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership			

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								Code	V A	Amount	(A (D	) or )	Price	Transaction(s) (Instr. 3 and 4)				(Instr. 4)
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned     (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative 2.   Security (Instr. 3) Conversion or Exercise   Price of Derivative Price of Security		e (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	ly		11. Nature of Indirect Beneficial Ownership (Instr. 4)	
L				Code	v	(A)	(D)	Date Exercisable		iration e	Title	_   N	mount or lumber of hares		Transaction(s (Instr. 4)	on(s)		

Explanation of Responses:

(1)

1. Deferred Stock Units become payable in shares of Class A Common Stock beginning one year after termination of service as a director. The Reporting Person has previously elected to receive such amount in a single payment or in payments spread out for up to 10 years.

(1)

(1)

2. The number of Deferred Stock Units acquired represents a portion of the Reporting Person's annual retainer fee and was based on a price of \$2.9355 per share of Class A Common Stock.

12,093.34

Remarks:

Deferred Stock

Units

## /s/ David F. Kurzawa, by power of 07/01/2020 attorney

12,093.34

(2)

183,773.871

D

Class A

Common

Stock

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{*}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

06/30/2020

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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