SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addres Schneider Key	s of Reporting Person vin Douglas	*	2. Issuer Name and Ticker or Trading Symbol GENWORTH FINANCIAL INC [ GNW ]		ionship of Reporting Perso all applicable) Director	on(s) to Issuer 10% Owner		
(Last) C/O GENWORT	(First) TH FINANCIAL, II	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 05/15/2020	x	Officer (give title below) EVP & Chief Oper	Other (specify below)		
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				
(Street)					Form filed by More than	5		
RICHMOND	VA	23230						
(City)	(State)	(Zip)						
		Table I - Non-Deri	vative Securities Acquired, Disposed of, or Beneficia	lly Ow	ned			

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed3.Execution Date, if anyTran Code (Month/Day/Year)			Disposed Of (D) (Instr. 3, 4 and 5)			Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Class A Common Stock	05/15/2020		М		90,090	A	(1)	1,073,326	D	
Class A Common Stock	05/15/2020		F		40,271(2)	D	\$2.68	1,033,055	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Ir 8)		Deriva Securi Acquii	ities red (A) or	A) or Expiration Date (Month/Day/Year) Securities Underlying Derivative Security (Inst 3 and 4)		lerlying	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially	10. Ownership Form: Direct (D)	11. Nature of Indirect Beneficial Ownership	
	Derivative Security					Disposed of (D) (Instr. 3, 4 and 5)				Amount			Owned Following Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	(Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	or Number of Shares				
Restricted Stock Units	(1)	05/15/2020		М			90,090	(3)	(3)	Class A Common Stock	90,090	\$0.00	180,181	D	

Explanation of Responses:

1. Restricted Stock Units settle in Class A Common Stock on a 1:1 basis.

2. The Company withheld shares of common stock to satisfy the tax withholding obligation for the Reporting Person's Restricted Stock Units that vested on May 15, 2020.

3. Restricted Stock Units vested and converted to Class A Common Stock on May 15, 2020.

Remarks:

/s/ David F. Kurzawa, by power of 05/18/2020 attorney

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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