FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

| OMB APPROVAL             |           |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|
| OMB Number:              | 3235-0287 |  |  |  |  |  |  |
| Estimated average burden |           |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* HIGGINS MELINA E  |       |            |  | <u>C</u>     | 2. Issuer Name and Ticker or Trading Symbol GENWORTH FINANCIAL INC [ GNW ] |  |  |  |      |  |                            |   |  | ionship of R<br>all applicabl<br>Director  | ,          |  |   | /ner     |
|--|-------|------------|--|--------------|--|--|--|--|------|--|----------------------------|---|--|--|------------|--|---|----------|
| (Last)   | (Firs | ,          | (Middle)   |              | 3. Date of Earliest Transaction (Month/Day/Year) 12/31/2017                |  |  |  |      |  |                            |   |  | Officer (gi<br>below)  | ve title   |  | Other (s<br>below)  | pecify   |
| C/O GENWORTH FINANCIAL, INC.<br>6620 WEST BROAD STREET   |       |            |  | 4.           | 4. If Amendment, Date of Original Filed (Month/Day/Year)                   |  |  |  |      |  | (                          | Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person |  |  |            |  |   |          |
| (Street) RICHMONI (City)   | ) VA  |            | 23230<br>(Zip)   |              |  |  |  |  |      |  |                            |   |  | Form filed   | by More    | than O   | ne Reportino  | g Person |
| ,  |       |            | Table I - Non  | -Deriva      | tive S   | Securitie  | s Acc  | quired, D  | ispo | osed o   | f, or Bene                 | eficiall  | y Ow   | ned  |            |  |   |          |
| Date   |       |            |  |              | Saction ZA. Deemed Execution Date, if any (Month/Day/Year)                 |  | <del>                                     </del> | ion Disposed   |      | rities Acquired (A) or ed Of (D) (Instr. 3, 4 and 5  |                            |   | 5. Amount of<br>Securities<br>Beneficially Own<br>Following Report<br>Transaction(s)<br>(Instr. 3 and 4) |  | Form:      | Direct (D)<br>irect (I)<br>4)  | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |          |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |       |            |  |              |  |  |  |  |      |  |                            |   |  |  |            |  |   |          |
| Derivative Conversion Date Execution Security (Instr. or Exercise (Month/Day/Year) if any  |       |            | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year | Code (Instr. |  | 5. Number of<br>Derivative<br>Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and<br>5) |  | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |      | 7. Title and Amount of<br>Securities Underlying<br>Derivative Security (Instr.<br>3 and 4) |                            | 9   | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)  | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) |            | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4)                             |          |
|  |       |            |  | Code         | v  | (A)  | (D)  | Date<br>Exercisable  |      | piration<br>ate  | Title                      | Amour<br>Numbe<br>Shares  | er of  |  | (Instr. 4) |  |   |          |
| Deferred Stock<br>Units  | (1)   | 12/31/2017 |  | A            |  | 2,872.062  |  | (1)  |      | (1)  | Class A<br>Common<br>Stock | 2,872   | 2.062  | (2)  | 97,837.    | 607  | D   |          |

## **Explanation of Responses:**

- 1. Deferred Stock Units become payable in shares of Class A Common Stock beginning one year after termination of service as a director. The Reporting Person has previously elected to receive such amount in a single payment or in payments spread out for up to 10 years.
- 2. The number of Deferred Stock Units acquired represents a portion of the Reporting Person's annual retainer fee and was based on a price of \$3.285 per share of Class A Common Stock.

## Remarks:

/s/ David F. Kurzawa, by power of attorney 01/02/2018

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.