SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* | | | | Name and Ticker WORTH FIN | 0, | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
|--|---------|--------------------|----------------|-------------------------------------|-------------------|------------------------------|---|---|--|--------------|--|--|
| Groh Kelly L (Last) (First) (C/O GENWORTH FINANCIAL, INC. | | (Middle) , INC. | | of Earliest Transacti | | | x | Director Officer (give title below) Vice Preside | 10% Owner Other (specify below) nt & Controller | | | |
| 6620 WEST BROAD STREET | | | 4. If Ame | endment, Date of O | riginal Filed (Mo | nth/Day/Year) | 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person | | | | | |
| (Street) RICHMOND | VA | 23230 | | | | | | Form filed by More | | ng Person | | |
| (City) | (State) | (Zip) | | | | | | | | | | |
| | | Table I - No | n-Derivative S | Securities Acq | uired, Disp | osed of, or Beneficia | lly Ow | ned | | | | |
| 1. Title of Security (Instr. 3) 2. Trar | | | 2. Transaction | 2A. Deemed | 3. | 4. Securities Acquired (A) o | r | 5. Amount of | 6. Ownership | 7. Nature of | | |

| 1. Litle of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | | Securities Beneficially Owned Following Reported | Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership |
|---------------------------------|--|---|---|---|--|---------------|--------|--|---|-------------------------|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (Instr. 4) |
| Class A Common Stock | 02/20/2015 | | М | | 1,063 | A | (1) | 19,055 | D | |
| Class A Common Stock | 02/20/2015 | | F | | 343(2) | D | \$7.94 | 18,712 | D | |
| Class A Common Stock | | | | | | | | 1,228.617 | Ι | by 401(k) |

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

| (e.g., puts, calls, | , warrants, options, | convertible securities) | |
|---------------------|----------------------|-------------------------|--|
|---------------------|----------------------|-------------------------|--|

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transac Code (In 8) | | n Derivative | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|---|------------|---|---------------------------------|---|--------------|-----------|--|--------------------|--|-------------------------------------|--------------------------------------|--|--|--|
| | | | | Code | v | 4 and 9 | 5) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | - 1 | Reported Transaction(s) (Instr. 4) | | |
| Restricted Stock Units | (1) | 02/20/2015 | | М | | | 1,063 | (3) | (3) | Class A Common Stock | 1,063 | \$0.00 | 3,187 | D | |

Explanation of Responses:

1. Restricted Stock Units settle in Class A Common Stock on a 1:1 basis.

2. The Company withheld shares of common stock to satisfy the tax withholding obligation for the Reporting Person's Restricted Stock Units that vested on February 20, 2015.

3. Restricted Stock Units vested and converted to Class A Common Stock on 02/20/2015.

Remarks:

/s/ Christine A. Ness, by power of attorney 02/24/2015

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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