SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*						2. Issuer Name <b>and</b> Ticker or Trading Symbol GENWORTH FINANCIAL INC [ GNW ]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
Laming Michael S						3. Date of Earliest Transaction (Month/Day/Year) 05/25/2004									Director 10% Owner Officer (give title Other (specify					
(Last) (First) (Middle) C/O GENWORTH FINANCIAL, INC.															VP Huma	an Re	below)			
6620 WEST BROAD STREET															Individual or Joint/Group Filing (Check Applicable Line)					
(Street) RICHMOND VA 23230					X Form filed by One Reporting Person Form filed by More than One Reporting Person													g Person		
(City) (State) (Zip)																				
		1	Гable I - No	n-Deriv	ative Securities Acquired, Disposed of, or Beneficial								ally Ow	Ily Owned						
(				2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. ) 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a				nd 5) Securities Beneficiall Following		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership		
								Code	v	Amount	(A (D	() or ()	Price	Transactio (Instr. 3 an				(Instr. 4)		
Class A Common Stock 05					25/2004		Р		500	500 A		\$19.25	500		Ι		Trust for child <sup>(11)</sup>			
Class A Common Stock				05/25	/2004			Р		500	500 A		\$19.25	500		Ι		Trust for child <sup>(11)</sup>		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)																				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Yea	Code (Instr.		5. Number of		6. Date Exercisabl Expiration Date (Month/Day/Year)		Securities Underly		lying	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securitie Beneficia Owned Following Reported	e s Illy J	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)			
				Cod	e V	(A) (D) Date Expiration Date Title Amount or Number of Shares			Transaction(s) (Instr. 4)											
Stock Option (right to buy)	\$36.6169	05/25/2004		A		10,174		09/22/200	5 0	9/22/2010	Class Comm Stock	ion	10,174	(9)	10,174		D			
Stock Option (right to buy)	\$25.3829	05/25/2004		A		11,739		09/10/200	4 0	9/10/2009	Class Comm Stocl	ion	11,739	(9)	11,739		D			
Stock Option (right to buy)	\$27.9518	05/25/2004		A		14,087		09/26/200	5 0	7/26/2011	Class Comm Stocl	ion	14,087	(9)	14,087		D			
Stock Option (right to buy)	\$22.6681	05/25/2004		A		14,087		(1)	6	9/26/2011	Class Comm Stocl	ion	14,087	(9)	14,087		D			
Stock Option (right to buy)	\$20.1445	05/25/2004		A		26,296		(2)	C	9/12/2013	Class Comm Stocl	ion	26,296	(9)	26,296		D			
Stock Option (right to buy)	\$17.2822	05/25/2004		A		28,800		(3)	C	9/13/2012	Class Comm Stock	ion 2	28,800	(9)	28,800		D			
Restricted Stock Unit	(4)	05/25/2004		A		5,845		(5)		(4)	Class Comm Stock	ion	5,845	(9)	5,845		D			
Restricted Stock Unit	(4)	05/25/2004		A		7,826		(6)		(4)	Class Comm Stock	ion	7,826	(9)	7,826		D			
Restricted Stock Unit	(4)	05/25/2004		А		15,652		(7)		(4)	Class Comm Stock	ion	15,652	(9)	15,652		D			
Restricted Stock Unit	(4)	05/25/2004		А		15,652		05/25/200	5	(4)	Class Comm Stock	ion	15,652	(9)	15,65	2	D			
Stock Settled SAR (right to buy)	\$19.5	05/25/2004		A		200,000		(8)	C	5/25/2014	Class Comm Stocl	ion 2	200,000	(10)	200,000		D			

Explanation of Responses:

1. Vests 50% - 9/26/04; 50% - 9/26/06.

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- 2. Vests in 20% annual increments beginning on 9/12/04.
- 3. Vests in 25% annual increments beginning on 9/13/04.
- 4. 1:1; no expiration date.
- 5. Vests 50% 9/12/06; 50% 9/12/08.
- 6. Vests 25% 10/28/05; 25% 10/28/07; 25% 10/28/12; 25% 10/25/16.
- 7. Vests 25% 9/13/05; 25% 9/13/07; 25% 9/13/12; 25% 10/25/16.
- 8. Vests in 25% annual increments beginning on 5/25/06.
- 9. Replaces cancelled General Electric Company awards.

10. Not applicable.

11. Shares held in trust for the benefit of the Reporting Person's child. The Reporting Person's spouse is trustee of the trust. The Reporting Person disclaims beneficial ownership of the shares except to the extent of his pecuniary interest.

<u>/s/ Richard J. Oelhafen, Jr.,</u> <u>Attorney-in-fact</u> \*\* Signature of Reporting Person

05/25/2004

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.