SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Title of Security (Instr. 3)					2. Issuer Name and Ticker or Trading Symbol GENWORTH FINANCIAL INC [GNW] 3. Date of Earliest Transaction (Month/Day/Year) 05/25/2004 4. If Amendment, Date of Original Filed (Month/Day/Year) vative Securities Acquired, Disposed of, or Beneficial saction 2A. Deemed Execution Date, if any (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 3. Transaction Code (Instr. 8)							6. Indix X 6. Indix X cially Ow	X Officer (give title below) Other (specify below) Chairman,Pres.and CEO 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person Form filed by More than One Reporting Person Y Owned 5. Amount of 6. Ownership					
							v	Amount		(A) or (D)	Price	(Instr. 3 and 4)						
Class A Common Stock					/2004 ive Se	curities	ired. Dis	005	100 A \$18.7									
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)		e.g., pu 4. Trans Code	puts, calls, wa s. Numi de (Instr. 5) (Instr. 3 5)		A) or of (D)	of f f f f f f f f f f f f f		nvertib			S) ount of erlying	8. Price of Derivative Security (Instr. 5) Beneficially Owned Following Reported		e s illy g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable		piration ate	Title		Amount or Number of Shares		Transact (Instr. 4)	ion(s)		
Stock Option (right to buy)	\$ 6.8016	05/25/2004		A		140,868		(1)	09	/15/2005	Clas Com Sto	mon	140,868	(15)	140,8	68	D	
Stock Option (right to buy)	\$9.4104	05/25/2004		Α		169,041		(1)	09	/13/2006	Clas Com Sto	mon	169,041	(15)	169,0	41	D	
Stock Option (right to buy)	\$14.109	05/25/2004		A		117,390		(1)	09	/12/2007	Clas Com Sto	mon	117,390	(15)	117,3	90	D	
Stock Option (right to buy)	\$16.8775	05/25/2004		Α		187,823		(1)	09	/11/2008	Clas Com Sto	mon	187,823	(15)	187,8	23	D	
Stock Option (right to buy)	\$25.3829	05/25/2004		A		211,301		(2)	09	/10/2009	Clas Com Sto	mon	211,301	(15)	211,3	01	D	
Stock Option (right to buy)	\$36.6169	05/25/2004		A		195,649		(3)	09	0/22/2010	Clas Com Sto	mon ock	195,649	(15)	195,6	49	D	
Stock Option (right to buy)	\$27.9518	05/25/2004		A		313,039		(4)	07	//26/2011	Clas Com Sto	mon	313,039	(15)	313,0	39	D	
Stock Option (right to buy)	\$22.6681	05/25/2004		A		156,520		(5)	09	/26/2011	Clas Com Sto	mon	156,520	(15)	156,5	20	D	
Stock Option (right to buy)	\$17.2822	05/25/2004		A		469,558		(6)	09	/13/2012	Clas Com Sto	mon ock	469,558	(15)	469,5	58	D	
Restricted Stock Unit	(7)	05/25/2004		A		70,434		10/03/2023		(7)	Clas Com Sto	mon ock	70,434	(15)	70,43	4	D	
Restricted Stock Unit	(7)	05/25/2004		A		123,259		(8)		(7)	Clas Com Sto	mon	123,259	(15)	123,2	59	D	
Restricted Stock Unit	(7)	05/25/2004		Α		70,434		(9)		(7)	Clas Com Sto		70,434	(15)	70,43	4	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)		ransaction Derivative I code (Instr. Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and A Securities U Derivative Se 3 and 4)	nderlying	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Restricted Stock Unit	(7)	05/25/2004		A		70,434		(10)	(7)	Class A Common Stock	70,434	(15)	70,434	D	
Restricted Stock Unit	(7)	05/25/2004		А		62,608		(11)	(7)	Class A Common Stock	62,608	(15)	62,608	D	
Restricted Stock Unit	(7)	05/25/2004		A		67,826		(12)	(7)	Class A Common Stock	67,826	(15)	67,826	D	
Stock Settled SAR (right to buy)	\$20.1445	05/25/2004		A		305,213		(13)	09/12/2013	Class A Common Stock	305,213	(15)	305,213	D	
Stock Settled SAR (right to buy)	\$19.5	05/25/2004		Α		1,900,000		(14)	05/25/2014	Class A Common Stock	1,900,000	(16)	1,900,000	D	

Explanation of Responses:

1. Immediately.

2. 50% immediately vested; 50% vests 9/10/04.

3. 50% immediately vested; 50% vests 9/22/05.

4. 50% immediately vested; 50% vests 9/26/05.

5. Vests 50% - 9/26/04; 50% - 9/26/06.

6. 20% immediately vested. Remaining award vests 20% - 9/13/04; 20% - 9/13/05; 20% - 9/13/06; 20% - 9/13/07.

7. 1:1; no expiration date.

8. Vests one third 6/26/05; two thirds 10/3/23.

9. Vests one third 7/29/06; two thirds 10/3/23.

10. Vests one third 6/22/07; two thirds 10/3/23.

11. Vests 25% - 9/10/04; 25% - 9/10/08; 50% - 10/3/23.

12. Vests 50% - 9/12/06; 50% - 9/12/08.

13. Vests in 20% annual increments beginning on 9/12/04.

14. Vests in 25% annual increments beginning on 5/25/06.

15. Replaces cancelled General Electric Company award.

16. Not applicable.

/s/ Richard J. Oelhafen Jr., Attorney-in-fact

** Signature of Reporting Person

05/25/2004 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.