SEC Form 4

Deferred Stock

Explanation of Responses:

Units

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * WHEELER THOMAS B					2. Issuer Name and Ticker or Trading Symbol <u>GENWORTH FINANCIAL INC</u> [ GNW ]								ionship of Reporting P all applicable) Director		erson(s	s) to Issuer 10% Ov	
(Last)	(First) (Middle)				3. Date of Earliest Transaction (Month/Day/Year) 06/30/2004								Officer (g below)	ive title		Other (s below)	specify
C/O GENWORTH FINANCIAL, INC. 6620 WEST BROAD STREET					4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Indiv X	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				
(Street) RICHMOND VA			23230										Form file	d by More	than Or	ne Reportin	g Person
(City)	(State	) (Z	Zip)														
		Т	able I - Noi	n-Deri	vative Se	ecurities Acq	luired, l	Disp	osed o	f, or	Benefic	cially Ow	ned				
Date					2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a				5. Amount Securities Beneficiall Following	y Owned Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership	
						Code   V   Amount   (A) or (D)   Price   Transaction(s) (Instr. 3 and 4)					(Ins		(Instr. 4)				
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative Security (Instr. 3)	tr. 2. Conversion or Exercise Price of Derivative Security 3. Transaction Date (Month/Day/Year) 3A. Deemed Execution Date, if any (Month/Day/Year)		C	ransaction ode (Instr.	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date Exercisable an Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)			8. Price of Derivative Security (Instr. 5)	9. Number derivative Securitie Beneficia Owned Following Reported Transact	e s ally g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	

Date

1. Deferred Stock Units become payable in cash (based on the cash value of a share of Class A Common Stock) beginning one year after termination of service as a director. The Reporting Person may elect to receive such amount

Exercisable

(1)

(D)

191

Expiration

(1)

Title

Class A

Commor

Stock

Attorney-in-Fact

Date

Amount

Number

of Shares

191

(2)

or

/s/ Richard J. Oelhafen, Jr.,

\*\* Signature of Reporting Person

(Instr. 4)

191

07/02/2004

Date

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

06/30/2004

(1)

as a lump sum or in equal payments spread out for up to 10 years.

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code V (A)

Α

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

2. The number of Deferred Stock Units acquired represents a portion of the Reporting Person's retainer fee and was based on a price of \$20.9685 per share of Class A Common Stock.