FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| OMB APPROVAL |  |
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| OMB Number:              | 3235-0287 |
|--------------------------|-----------|
| Estimated average burden |           |
| hours per response:      | 0.5       |

#### Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address     Mills Howard         |                               |                | 2. Issuer Name and Ticker or Trading Symbol GENWORTH FINANCIAL INC [ GNW ]   | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)   |                                     |                                       |  |  |  |  |  |
|---|-------------------------------|----------------|--|---|-------------------------------------|---------------------------------------|--|--|--|--|--|
| (Last)                                    | (First)                       | (Middle)       | 3. Date of Earliest Transaction (Month/Day/Year) 05/18/2023  | X   | Director Officer (give title below) | 10% Owner<br>Other (specify<br>below) |  |  |  |  |  |
| C/O GENWORTH<br>6620 WEST BRO<br>(Street) | I FINANCIAL, INC<br>AD STREET |                | 4. If Amendment, Date of Original Filed (Month/Day/Year)     6. Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person |   |                                     |                                       |  |  |  |  |  |
| RICHMOND (City)                           | VA (State)                    | 23230<br>(Zip) | Rule 10b5-1(c) Transaction Indication  Check this box to indicate that a transaction was made pursuant to a contra affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.                           | to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the |                                     |                                       |  |  |  |  |  |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| (                    | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transac<br>Code (Ir<br>8) |   | 4. Securities Acq<br>Of (D) (Instr. 3, 4 | s Acquired (A) or Disposed<br>r. 3, 4 and 5) |            | Securities<br>Beneficially Owned<br>Following Reported | 6. Ownership<br>Form: Direct (D)<br>or Indirect (I)<br>(Instr. 4) | Beneficial<br>Ownership |
|----------------------|--|---|---------------------------------|---|--|--|------------|--|---|-------------------------|
|                      |  |   | Code                            | v | Amount                                   | (A) or<br>(D)                                | Price      | Transaction(s)<br>(Instr. 3 and 4)                     |   | (Instr. 4)              |
| Class A Common Stock | 05/18/2023                                 |   | A                               |   | 27,412.281(1)                            | A  | \$5.472(2) | 27,412.281   | D   |                         |

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security (Instr.<br>3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security |            | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transac<br>Code (In<br>8) |   | 5. Number<br>Derivative<br>Securities<br>Acquired (<br>Disposed (<br>(Instr. 3, 4 | A) or<br>of (D) | 6. Date Exerc<br>Expiration D<br>(Month/Day/ | ate                | 7. Title and A<br>Securities Un<br>Derivative Se<br>3 and 4) | derlying                         | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|------------|---|---------------------------------|---|---|-----------------|--|--------------------|--|----------------------------------|---|--|--|--|
|   |   |            |   | Code                            | v | (A)   | (D)             | Date<br>Exercisable                          | Expiration<br>Date | Title  | Amount or<br>Number of<br>Shares |   | Transaction(s)<br>(Instr. 4)   |  |  |
| Deferred Stock<br>Units                             | (3)   | 05/18/2023 |   | A                               |   | 3,539.498   |                 | (3)  | (3)                | Class A<br>Common<br>Stock                                   | 3,539.498                        | (4)   | 70,009.371   | D  |  |

#### Explanation of Responses:

- 1. Reflects award of restricted stock units (RSUs) in payment of a portion of the reporting person's annual retainer fee. The RSUs vest on the one-year anniversary of the grant date, and convert to shares of Class A Common Stock upon vesting (unless the reporting person elected to defer receipt of the shares until termination of service as a director or to a future specified year).
- 2. Reflects the twenty-day average trading price per share of Class A Common Stock.
- 3. Deferred Stock Units become payable in shares of Class A Common Stock beginning one year after termination of service as a director. The Reporting Person has previously elected to receive such amount in a single payment or in payments spread out for up to 10 years.
- 4. The number of Deferred Stock Units acquired represents a pro-rata payment of a quarterly portion of the Reporting Person's annual retainer fee and was based on a twenty-day average trading price per share of Class A Common Stock of \$5.472

#### Remarks:

/s/ David F. Kurzawa, by power of attorney 05/22/2023

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.