SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Ш

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] <u>Haendiges Brian</u>						2. Issuer Name and Ticker or Trading Symbol <u>GENWORTH FINANCIAL INC</u> [GNW]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner				vner	
(Last) (First) (Middle) C/O GENWORTH FINANCIAL, INC.					3. Date of Earliest Transaction (Month/Day/Year) 02/16/2023								x	Officer (g below) EV		Other (spec below) Life Insurance		specify	
6620 WEST BROAD STREET						4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(Street) RICHMOND VA (City) (State)			23230 (Zip)									X			•	ng Person Dne Reportin	g Person		
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
1. Title of Security (Instr. 3) Date (Month					ction ay/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Disp Code (Instr.			urities Acquired (A) or sed Of (D) (Instr. 3, 4 ar			Following	urities eficially Owned		mership : Direct (D) lirect (I) . 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
								Code	v	Amount	Amount (A) (C)		Price	(Instr. 3 and 4)				(Instr. 4)	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date if any (Month/Day/Yea	Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount Securities Underlyin Derivative Security (3 and 4)		lerlying	8. Price of Derivative Security (Instr. 5)			Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	de V				Date Expire Exercisable Date		Expiration Date	N		Amount or Number of Shares		(Instr. 4)			

Explanation of Responses:

(1)

1. Restricted Stock Units settle in Class A Common Stock on a 1:1 basis.

02/16/2023

2. Restricted Stock Units vest and convert to Class A Common Stock in three equal annual installments beginning on February 16, 2024.

Remarks:

Restricted Stock

Units

/s/ David F. Kurzawa, by power of 02/17/2023 attorney

\$0.00

109,457

D

** Signature of Reporting Person Date

109,457

Title Class A

Commor

Stock

(2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

(A)

109,457

A

(D)

(2)

OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

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