SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person [*] Sheehan Daniel J IV | | | | Name and Ticker | 0, | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner | | | | | | |
|---|----------------------------|--------------|----------------------|--|----------------|-------------------------------|--|---|--------------|--------------|--|--|--|
| (Last) C/O GENWORT | (First) H FINANCIAL, IN | (Middle) | 3. Date o 02/13/2 | of Earliest Transacti 2023 | ion (Month/Day | Year) | x | Officer (give title below) | | specify | | | |
| 6620 WEST BROAD STREET | | | 4. If Ame | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | | |
| (Street) RICHMOND | VA | 23230 | | | | | X | Form filed by One Form filed by More | | ng Person | | | |
| (City) | (State) | (Zip) | | | | | | | | | | | |
| | | Table I - No | n-Derivative S | Securities Acq | luired, Disp | osed of, or Beneficia | lly Ow | ned | | | | | |
| 1. Title of Security (Instr. 3) 2. Tran | | | | 2A. Deemed | 3. | 4. Securities Acquired (A) or | | 5. Amount of | 6. Ownership | 7. Nature of | | | |

| 1. The of Security (instr. 5) | Date (Month/Day/Year) | Execution Date, if any (Month/Day/Year) | Transaction Code (Instr. 8) | | Disposed Of (D) (Instr. 3, 4 and 5) | | | Following Reported | Form: Direct (D) or Indirect (I) (Instr. 4) | Indirect Beneficial Ownership (Instr. 4) |
|-------------------------------|--------------------------|---|-----------------------------------|---|-------------------------------------|---------------|-------------------------------|------------------------------------|---|---|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (instr. 4) |
| Class A Common | 02/13/2023 | | S | | 250,000 | D | \$6.056 ⁽¹⁾ | 1,132,023 | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| (e.g., puto, cuito, voltanto, optiono, contentible securities) | | | | | | | | | | | | | | | |
|--|---|--|---|---------------------------------|---|--|---------------------------------|--|--------------------|--|-------------------------------------|---|--|----------------|---------------------------------------|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transac Code (Ir 8) | | 5. Num Derivat Securit Acquire or Disp (D) (Ins and 5) | ive ies ed (A) osed of | 6. Date Exerc Expiration Da (Month/Day/Y | ate | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported | (I) (Instr. 4) | Beneficial Ownership (Instr. 4) |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | Transaction(s) (Instr. 4) | | |

Explanation of Responses:

1. Price indicated is the weighted average sales price as a result of a series of broker-assisted transactions ranging in price from \$6.03 to \$6.075 per share. The reporting person undertakes to provide full information regarding the number of shares purchased at each separate price within the range upon request by the SEC staff, the issuer, or any security holder of the issuer.

Remarks:

/s/ David F. Kurzawa, by power of 02/15/2023

attorney

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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