FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| OMB APPROVAL | | | | | | | | |
|-------------------------|-----------|--|--|--|--|--|--|--|
| OMB Number: | 3235-0287 | | | | | | | |
| Estimated average burde | en | | | | | | | |
| hours per response: | 0.5 | | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person* Dyson Karen Elizabeth | | | | | 2. Issuer Name and Ticker or Trading Symbol GENWORTH FINANCIAL INC [GNW] | | | | | | | | Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner | | | | | |
|--|---|----------------------|---|---------|--|--|--|-------------------|---|--------------------|----------------------------|---|--|---|--|--|---------------------------------------|--|
| (Last) | (First) | • | Middle) | | 3. Date of Earliest Transaction (Month/Day/Year) 12/30/2022 | | | | | | | Officer (below) | Officer (give title below) | | Other (specify below) | | | |
| C/O GENWORTH FINANCIAL, INC. 6620 WEST BROAD STREET | | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | 6. 1 | Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person | | | | | | |
| (Street) RICHMOND (City) | VA (State | | 3230 Zip) | _ | | | | | | | | | Form fil | ed by More | than C | ne Reportin | g Person | |
| | | T | able I - Nor | n-Deriv | ative | Securitie | s Acc | uired, E | isp | osed o | f, or Bene | ficially | Owned | | | | | |
| Date | | | | | asaction ZA. Deemed Execution Date, if any (Month/Day/Year) | | 3. Transact Code (Ins 8) | | 4. Securities Acquired (A) of Disposed Of (D) (Instr. 3, 4 Amount (A) or (D) | | | Securities Beneficia Following Transacti | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | | rnership : Direct (D) direct (I) . 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | ise (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | Code | saction (Instr. | Derivative Securities Acquired Disposed | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | | mount of iderlying curity (Ins | 8. Price of Derivative r. Security (Instr. 5) | 9. Number derivative Securitie Beneficia Owned Following Reported | e s ally g | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership (Instr. 4) | |
| | | | | Code | v | (A) | (D) | Date Exercisab | | expiration Date | Title | Amount Number Shares | | Transact (Instr. 4) | ion(s) | | | |
| Deferred Stock Units | (1) | 12/30/2022 | | A | | 298.555 | | (1) | | (1) | Class A Common Stock | 298.5 | (2) | 61,961. | 897 | D | | |

Explanation of Responses:

- 1. Deferred Stock Units become payable in shares of Class A Common Stock beginning one year after termination of service as a director. The Reporting Person has previously elected to receive such amount in a single payment or in payments spread out for up to 10 years.
- 2. The number of Deferred Stock Units acquired represents a portion of the Reporting Person's annual retainer fee and was based on a price of \$5.13 per share of Class A Common Stock.

Remarks:

/s/ David F. Kurzawa, by power of attorney 01/03/2023

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.