FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

I	OMB APPROVAL									
l	OMB Number:	3235-0287								
l	Estimated average burden									
l	hours per response:	0.5								

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Ad		orting Person *	<u>C</u>	2. Issuer Name and Ticker or Trading Symbol GENWORTH FINANCIAL INC [ GNW ]									tionship of R all applicable Director		erson(s	s) to Issuer 10% Ow	ner	
(Last) (First) (Middle)					3. Date of Earliest Transaction (Month/Day/Year) 06/30/2021									Officer (gi below)	ve title		Other (s below)	pecify
C/O GENWORTH FINANCIAL, INC. 6620 WEST BROAD STREET						4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Indivi					
(Street) RICHMONI	) VA	:	23230										Form filed	by More t	han Oi	ne Reportinç	g Person	
(City)	(Stat	e)	(Zip)															
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
1. Title of Security (Instr. 3)  2. Tra Date (Mont					tion y/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Dis			ecurities Acquired (A) or posed Of (D) (Instr. 3, 4 an			5. Amount of Securities Beneficially Following F	ly Owned or Reported (In		Direct (D) rect (I) 4)	7. Nature of Indirect Beneficial Ownership
								Code	v	Amount		(A) or (D) Price		Transaction(s) (Instr. 3 and 4)				(Instr. 4)
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable		piration ate	Title	Nu	nount or mber of ares		Transaction(s) (Instr. 4)			
Deferred Stock Units	(1)	06/30/2021		A		8,821.503		(1)		(1)	Class A Common Stock		821.503	(2)	140,733.	105	D	

## **Explanation of Responses:**

- 1. Deferred Stock Units become payable in shares of Class A Common Stock beginning one year after termination of service as a director. The Reporting Person has previously elected to receive such amount in a single payment or in payments spread out for up to 10 years.
- 2. The number of Deferred Stock Units acquired represents a portion of the Reporting Person's annual retainer fee and was based on a price of \$4.099 per share of Class A Common Stock.

## Remarks:

/s/ David F. Kurzawa, by power of attorney 07/01/2021

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.