SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>RESTREPO ROBERT P JR</u>					2. Issuer Name and Ticker or Trading Symbol <u>GENWORTH FINANCIAL INC</u> [GNW]										onship of Reporting Perso all applicable) Director		(s) to Issuer 10% Ov	ner
(Last)	(Firs	,	(Middle)			3. Date of Earliest Transaction (Month/Day/Year) 09/30/2019								Officer (g below)	ve title		Other (s below)	pecify
C/O GENWORTH FINANCIAL, INC. 6620 WEST BROAD STREET					4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Indiv X	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(Street) RICHMOND VA		23230											Form filed	d by More	than C	ne Reportin	g Person	
(City)	(Stat		(Zip) Table I - Non	-Deriva	tivo	Socuritie	s A cr	wired C	lien	osod o	f or F	Ronofi		med				
1. Title of Security (Instr. 3) 2. Tran Date				2. Transac	tion	2A. Deem Execution if any	2A. Deemed Execution Date, if any (Month/Day/Year)				urities Acquired (A) or ed Of (D) (Instr. 3, 4 a		A) or	5. Amount Securities Beneficially Following I Transaction (Instr. 3 and	y Owned or Inc Reported (Instr n(s)		: Direct (D) lirect (l) 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)			Securit			ng Derivative		er of e s Illy g l ion(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Code	v	(A) (Date Exercisabl		cpiration ate	Title		Amount or Number of Shares		(Instr. 4)			
Deferred Stock Units	(1)	09/30/2019		А		8,001.803		(1)		(1)	Class Comr Stoc	non	8,001.803	(2)	89,324.	281	D	

Explanation of Responses:

1. Deferred Stock Units become payable in shares of Class A Common Stock beginning one year after termination of service as a director. The Reporting Person has previously elected to receive such amount in a single payment or in payments spread out for up to 10 years.

2. The number of Deferred Stock Units acquired represents a portion of the Reporting Person's annual retainer fee and was based on a price of \$4.4365 per share of Class A Common Stock.

Remarks:

/s/ David F. Kurzawa, by power of 10/01/2019 attorney

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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