SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWN

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	OMB Number:	3235-0287
	Estimated average burden	
NERSHIP	hours per response:	0.5

OMB APPROVAL

1. Name and Address of Reporting Person [*] <u>PERRY DEBRA J</u>			GEN	2. Issuer Name and Ticker or Trading Symbol <u>GENWORTH FINANCIAL INC</u> [GNW]						ionship of Reporting Per all applicable) Director		Person(rson(s) to Issuer 10% Owner			
(Last)	(Firs	,	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 09/30/2019							Officer (give title below)			Other (specify below)	
C/O GENWORTH FINANCIAL, INC. 6620 WEST BROAD STREET			4. If Ame	4. If Amendment, Date of Original Filed (Month/Day/Year)				6. Indiv X								
(Street)												Form file	d by More	than O	ne Reportin	g Person
RICHMONI	O VA		23230													
(City)	(Sta	te)	(Zip)													
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																
Date			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Disposed Of (D) Code (Instr.		ities Acquired (A) or d Of (D) (Instr. 3, 4 and		Securities Beneficiall Following	ecurities eneficially Owned ollowing Reported			7. Nature of Indirect Beneficial Ownership			
					Code	v	Amount	t	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				(Instr. 4)	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year	4. Transaction Code (Instr.) 8)	Derivative	6. Date Exercisable and Expiration Date (Month/Day/Year) 7. Title and Amou Securities Underl Derivative Securit 3 and 4)		rlying	8. Price of Derivative Security (Instr. 5)	rivative derivative curity Securities		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)			

Transaction(s) Amount or (Instr. 4) Date Expiration Number of Code v (A) (D) Exercisable Date Title Shares Class A Deferred Stock (1) 09/30/2019 Α 8,001.803 (1) (1) Common 8,001.803 (2) 89,324.281 D Units Stock

Explanation of Responses:

1. Deferred Stock Units become payable in shares of Class A Common Stock beginning one year after termination of service as a director. The Reporting Person has previously elected to receive such amount in a single payment or in payments spread out for up to 10 years.

2. The number of Deferred Stock Units acquired represents a portion of the Reporting Person's annual retainer fee and was based on a price of \$4.4365 per share of Class A Common Stock.

Remarks:

/s/ David F. Kurzawa, by power of 10/01/2019 attorney

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.