SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Ш

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Schneider Kevin Douglas						2. Issuer Name and Ticker or Trading Symbol <u>GENWORTH FINANCIAL INC</u> [GNW]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) (First) (Middle) C/O GENWORTH FINANCIAL, INC.					3. Date of Earliest Transaction (Month/Day/Year) 03/15/2017								X	Officer (g below) EVP &		Other (specify below) of Operating Officer			
6620 WEST BROAD STREET					4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(Street) <u>RICHMOND</u> VA (City) (State)			23230 (Zip)													•	ne Reportin	g Person	
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
1. Title of Security (Instr. 3) Date (Month/						2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction D Code (Instr.			Securities Acquired (A) or sposed Of (D) (Instr. 3, 4 ar			Following I Transactio	ecurities eneficially Owned ollowing Reported ansaction(s)		nership : Direct (D) lirect (I) . 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
							Code	/ ^	mount	(A) or (D)		Price	(Instr. 3 and	14)					
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date if any (Month/Day/Yea	Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		e and	7. Title and Amount Securities Underlyi Derivative Security 3 and 4)		erlying	Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable		iration	Title	i	Amount or Number of Shares		(Instr. 4)	,			
Restricted Stock											Class A	Α				T			

(2)

(2)

Common

Stock

Explanation of Responses:

(1)

1. Restricted Stock Units settle in Class A Common Stock on a 1:1 basis.

03/15/2017

2. Restricted Stock Units vest and convert to Class A Common Stock in three equal annual installments beginning on March 15, 2018.

Α

Remarks:

Units

/s/ David F. Kurzawa, by power of 03/17/2017 attorney

\$0.00

269,000

D

** Signature of Reporting Person Date

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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