SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * <u>Groh Kelly L</u>					2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>GENWORTH FINANCIAL INC</u> [ GNW ]										5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) (First) (Middle)					3. Date of Earliest Transaction (Month/Day/Year) 03/15/2017									x	Officer (give title below)			Other (specify below)		
C/O GENWO												EVP a	& Chief	Finand	cial Office	r				
6620 WEST BROAD STREET						4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street)														X Form filed by One Reporting Person Form filed by More than One Reporting Person						
RICHMOND	CHMOND VA 23230																			
(City)	(State	ə) (i	(Zip)																	
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																				
Date					Transaction ate lonth/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Dis Code (Instr.			Securities Acquired (A) or posed Of (D) (Instr. 3, 4 a			Securities Beneficiall Following	5. Amount of Securities Beneficially Owned following Reported Transaction(s)		/nership : Direct (D) lirect (I) : 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
									Code	v	Amount	Amount (A) o (D)		Price	(Instr. 3 and 4)				(IIISU: 4)	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)																				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date if any (Month/Day/Yea	Code (Instr.		n [ . § [	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount Securities Underlyin Derivative Security ( 3 and 4)		lerlying	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securitie Beneficia Owned Following Reported Transact	e s ally g	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Cod	de V (		(A)	(D)	Date Exercisal	ble	Expiration Date	Title		Amount or Number of Shares		(Instr. 4)				

## Explanation of Responses:

(1)

1. Restricted Stock Units settle in Class A Common Stock on a 1:1 basis.

03/15/2017

2. Restricted Stock Units vest and convert to Class A Common Stock in three equal annual installments beginning on March 15, 2018.

## Remarks:

Restricted Stock

Units

/s/ David F. Kurzawa, by power of 03/17/2017 attornev

**\$0.00** 

134,000

D

\*\* Signature of Reporting Person Date

134,000

Title Class A

Commor

Stock

(2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

v (A)

A

(2)

134,000

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