SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934	
or Section 30(h) of the Investment Company Act of 1940	

1. Name and Address of Reporting Person* BOLINDER WILLIAM H				C	2. Issuer Name and Ticker or Trading Symbol <u>GENWORTH FINANCIAL INC</u> [GNW]									tionship of R all applicabl Director		Person	(s) to Issuer 10% Ov	vner	
(Last)	(Firs	,	(Middle)		Date c 9/30/2		ransact	tion (Month/	Day/Ye	ear)				Officer (give title below)		Other (specify below)			
C/O GENWORTH FINANCIAL, INC.				4.	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line)						
6620 WEST BROAD STREET														X Form filed by One Reporting Person					
				_										Form filed by More than One Reporting Person					
(Street) RICHMONI	O VA		23230																
(City)	(Sta	te)	(Zip)																
		•	Table I - Non	-Deriva	tive S	Securitie	s Acc	quired, D	ispo	sed o	f, or Ben	efici	ally Ow	ned					
Date				2. Transac Date (Month/Day		2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Disposed Code (Instr.			rities Acquire ed Of (D) (Ins			5. Amount Securities Beneficially Following F	Owned Reported	Form		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
								Code	v A	Amount (A) or (D) P		Price	 Transaction(s) (Instr. 3 and 4) 				(instr. 4)		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable a Expiration Date (Month/Day/Year)			7. Title and Securities I Derivative S 3 and 4)	Inderl	ying	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable		oiration e	Title	Nu	ount or mber of ares		(Instr. 4)				
Deferred Stock Units	(1)	09/30/2016		Α		6,987.234		(1)		(1)	Class A Common Stock	6,9	987.234	(2)	109,671	.736	D		

Explanation of Responses:

1. Deferred Stock Units become payable in shares of Class A Common Stock beginning one year after termination of service as a director. The Reporting Person has previously elected to receive such amount in a single payment or in payments spread out for up to 10 years.

2. The number of Deferred Stock Units acquired represents a portion of the Reporting Person's annual retainer fee and was based on a price of \$4.88 per share of Class A Common Stock.

Remarks:

/s/ David F. Kurzawa, by power of 10/03/2016

attorney

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.