SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Bobitz Ward E.			2. Issuer Name and Ticker or Trading Symbol <u>GENWORTH FINANCIAL INC</u> [GNW]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner						
(Last) C/O GENWORT	st) (First) (Middle) O GENWORTH FINANCIAL, INC.		3. Date of Earliest Transaction (Month/Day/Year) 02/20/2016	x	Officer (give title below) EVP and Gener	Other (specify below)				
6620 WEST BROAD STREET			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)						
(Street) RICHMOND	VA	23230		X	Form filed by One Report Form filed by More than	orting Person n One Reporting Person				
(City)	(State)	(Zip)								
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (I	3. 4. Securities Acquired (A) or Transaction Disposed Of (D) (Instr. 3, 4 and 5) 8) 8		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	v	Amount	(A) or (D)	Price	(Instr. 3 and 4)		(instr. 4)
Class A Common Stock	02/20/2016		М		8,334	A	(1)	21,758	D	
Class A Common Stock	02/20/2016		F		3,201(2)	D	\$1.98	18,557	D	
Class A Common Stock	02/20/2016		М		1,350	A	(1)	19,907	D	
Class A Common Stock	02/20/2016		F		519 ⁽²⁾	D	\$1.98	19,388	D	
Class A Common Stock								171.943	Ι	by 401(k)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities) 5. Number of 1. Title of 3. Transaction 3A. Deemed 6. Date Exercisable and 7. Title and Amount of 8. Price of 9. Number of 11. Nature 2. Conversion 10. Transaction Derivative Date Execution Date Derivative Expiration Date Securities Underlying Derivative derivative Ownership of Indirect or Exercise (Month/Day/Year) Securities (Month/Day/Year) Derivative Security (Instr. Beneficial Security (Instr. if any Code (Instr. Security Securities Form: Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) Direct (D) 3) Price of (Month/Day/Year 8) 3 and 4) (Instr. 5) Beneficially Ownership or Indirect (Instr. 4) Derivative Owned Security Following (I) (Instr. 4) Reported Transaction(s) Amount (Instr. 4) or Date Expiration Number v (D) Title Code (A) Exercisable Date of Shares Class A Restricted Stock (1) 02/20/2016 Μ 8,334 (3) (3) Common 8,334 \$0.00 16,666 D Units Stock Class A Restricted Stock 02/20/2016 1,350 1,350 \$0.00 D (1) Μ (3) (3) 2,700 Common Units Stock

Explanation of Responses:

1. Restricted Stock Units settle in Class A Common Stock on a 1:1 basis.

2. The Company withheld shares of common stock to satisfy the tax withholding obligation for the Reporting Person's Restricted Stock Units that vested on February 20, 2016.

3. Restricted Stock Units vested and converted to Class A Common Stock on February 20, 2016.

Remarks:

/s/ David F. Kurzawa, by power of 02/22/2016

** Signature of Reporting Person Date

attorney

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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