SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Groh Kelly L			2. Issuer Name and Ticker or Trading Symbol <u>GENWORTH FINANCIAL INC</u> [GNW]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner						
(Last) C/O GENWORTI	(First) H FINANCIAL, INC	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 02/14/2016	X	Officer (give title below) EVP & Chief Finan	Other (specify below)				
6620 WEST BROAD STREET			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person						
(Street) RICHMOND	VA	23230			Form filed by More than C	One Reporting Person				
(City)	(State)	(Zip)								
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) or Transaction Disposed Of (D) (Instr. 3, 4 and 5) 8) 8		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership			
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Class A Common Stock	02/14/2016		М		1,666	A	(1)	22,050	D	
Class A Common Stock	02/14/2016		F		640 ⁽²⁾	D	\$1.75	21,410	D	
Class A Common Stock	02/15/2016		М		2,887	A	(1)	24,297	D	
Class A Common Stock	02/15/2016		F		1,109(3)	D	\$1.75	23,188	D	
Class A Common Stock								1,300.1882	Ι	by 401(k)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities) 5. Number of 1. Title of 3. Transaction 3A. Deemed 6. Date Exercisable and 7. Title and Amount of 8. Price of 9. Number of 11. Nature 2. Conversion 10. Derivative Date Execution Date Transaction Derivative Expiration Date Securities Underlying Derivative derivative Ownership of Indirect or Exercise Securities (Month/Day/Year) Derivative Security (Instr. Beneficial Security (Instr. (Month/Dav/Year if any Code (Instr. Security Securities Form: Direct (D) 3) Price of (Month/Day/Year 8) Acquired (A) 3 and 4) (Instr. 5) Beneficially Ownership or Disposed or Indirect (Instr. 4) Derivative Owned of (D) (Instr. 3, 4 and 5) Security Following (I) (Instr. 4) Reported Transaction(s) Amount (Instr. 4) or Date Expiration Number v (D) Title of Shares Code (A) Exercisable Date Class A Restricted Stock (1)02/14/2016 Μ 1,666 (4) (4) Commor 1,666 \$0.00 0 D Units Stock Class A Restricted Stock 02/15/2016 2,887 2,887 \$0.00 2,887 D (1) Μ (5) (5) Common Units Stock

Explanation of Responses:

1. Restricted Stock Units settle in Class A Common Stock on a 1:1 basis.

2. The Company withheld shares of common stock to satisfy the tax withholding obligation for the Reporting Person's Restricted Stock Units that vested on February 14, 2016.

3. The Company withheld shares of common stock to satisfy the tax withholding obligation for the Reporting Person's Restricted Stock Units that vested on February 15, 2016.

4. Restricted Stock Units vested and converted to Class A Common Stock on February 14, 2016.

5. Restricted Stock Units vested and converted to Class A Common Stock on February 15, 2016.

Remarks:

/s/ David F. Kurzawa, by power of 02/17/2016

** Signature of Reporting Person Date

attorney

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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OMB Number: 3235-0287
Estimated average burden
hours per response: 0.5