SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL
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| 1. Name and Address of Reporting Person <sup>*</sup><br>MEAD CHRISTINE B |         |              |  | uer Name <b>and</b> Ticker of North Name                           |   |        |                                  |               | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable) |   |   |   |  |  |
|--|---------|--------------|--|--|---|--------|----------------------------------|---------------|--|---|---|---|--|--|
| (Last)   | (First) | (Middle)     |  | e of Earliest Transaction<br>1/2015                                | on (Mont                                | h/Day/ | Year)                            |               |  | Director<br>Officer (give title<br>below)   | 10% O<br>Other (<br>below)  | (specify  |  |  |
| C/O GENWORTH FINANCIAL, INC.<br>6620 WEST BROAD STREET                   |         |              |  | 4. If Amendment, Date of Original Filed (Month/Day/Year)           |   |        |                                  |               |  | 6. Individual or Joint/Group Filing (Check Applicable Line)<br>X Form filed by One Reporting Person |   |   |  |  |
| (Street)<br>RICHMOND   | VA      | 23230        |  |  |   |        |                                  |               |  | Form filed by More  | than One Reporti  | ng Person   |  |  |
| (City)   | (State) | (Zip)        |  |  |   |        |                                  |               |  |   |   |   |  |  |
|  |         | Table I - No | n-Derivative                             | e Securities Acq   | uired,                                  | Disp   | osed of, or                      | Benefi        | cially Ow  | ned   |   |   |  |  |
| Date   |         |              | 2. Transaction<br>Date<br>(Month/Day/Yea | ar)<br>2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code (Instr.<br>8) |        | 4. Securities A<br>Disposed Of ( |               |  | 5. Amount of<br>Securities<br>Beneficially Owned<br>Following Reported                              | 6. Ownership<br>Form: Direct (D)<br>or Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership |  |  |
|  |         |              |  |  | Code V                                  |        | Amount                           | (A) or<br>(D) | Price  | Transaction(s)<br>(Instr. 3 and 4)  |   | (Instr. 4)  |  |  |
|  |         | Table II -   | Derivative S                             | Securities Acqui   | red, Di                                 | spos   | sed of, or B                     | eneficia      | lly Owne   | ed  |   |   |  |  |

(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security (Instr.<br>3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code (Instr.<br>8) |   | 5. Number of<br>Derivative<br>Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and<br>5) |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Securities Underlying<br>Derivative Security (Instr.<br>3 and 4) |                                  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|---|---|--|-----|--|--------------------|--|----------------------------------|---|--|--|--|
|   |   |  |   | Code                                    | v | (A)  | (D) | Date<br>Exercisable  | Expiration<br>Date | Title  | Amount or<br>Number of<br>Shares |   | Transaction(s)<br>(Instr. 4)   |  |  |
| Deferred Stock<br>Units                             | (1)   | 03/31/2015                                 |   | A                                       |   | 4,657.756  |     | (1)  | (1)                | Class A<br>Common<br>Stock   | 4,657.756                        | (2)   | 67,215.963   | D  |  |

Explanation of Responses:

1. Deferred Stock Units become payable in shares of Class A Common Stock beginning one year after termination of service as a director. The Reporting Person has previously elected to receive such amount in a single payment or in payments spread out for up to 10 years.

2. The number of Deferred Stock Units acquired represents a portion of the Reporting Person's annual retainer fee and was based on a price of \$7.4070 per share of Class A Common Stock.

Remarks:

## /s/Christine A. Ness, by power of 04/01/2015

Date

attorney \*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.