SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addre			2. Issuer Name and Ticker or Trading Symbol <u>GENWORTH FINANCIAL INC</u> [GNW]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
Schneider Kevin Douglas (Last) (First) (Middle) C/O GENWORTH FINANCIAL, INC.			3. Date of Earliest Transaction (Month/Day/Year) 02/20/2015	X Director 10% Owner X Officer (give title Other (specify below) below) EVP - Genworth						
6620 WEST BROAD STREET			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person						
(Street) RICHMOND	VA	23230		Form filed by More than One Reporting Person						
(City)	(State)	(Zip)								
		Table I - Non	Derivative Securities Acquired, Disposed of, or Bene	ficially Owned						

1. Title of Security (Instr. 3)	2. Transaction	2A. Deemed	3.		4. Securities Acquired (A) or			5. Amount of	6. Ownership	7. Nature of
	Date	Execution Date,		tion	Disposed Of (D) (Instr. 3, 4 and 5)		Securities	Form: Direct (D)	Indirect	
	(Month/Day/Year)	if any Code (Instr.					Beneficially Owned	or Indirect (I)	Beneficial	
		(Month/Day/Year)	8)					Following Reported	(Instr. 4)	Ownership
					l			Transaction(s)		(Instr. 4)
			Code	V I	Amount		Price	(Instr. 3 and 4)		
			oouc	· ·	Amount	(D)	11100			
	1. Title of Security (Instr. 3)	Date	Date Execution Date, (Month/Day/Year) if any	Date Execution Date, Transac	Date (Month/Day/Year) B (Month/Day/Year) B (Month/Day/Year)	Date (Month/Day/Year) Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) B) Disposed Of (D B)	Date (Month/Day/Year) Date (Month/Day/Year) Date if any (Month/Day/Year) Date if any (Month/Day/Year)	Date (Month/Day/Year) Date (Month/Day/Year) Date if any (Month/Day/Year) Date if any (Month/Day/Year) Disposed Of (D) (Instr. 3, 4 and 5) Code (Instr. 8) Code (Instr. 8)	Date (Month/Day/Year)	Date (Month/Day/Year) Date (Month/Day/Year)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code (Ir	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Stock Settled SARs	\$7.99	02/20/2015		A		265,000		(1)	02/20/2025	Class A Common Stock	265,000	\$0.00	265,000	D	
Restricted Stock Units	(2)	02/20/2015		A		80,000		(3)	(3)	Class A Common Stock	80,000	\$0.00	80,000	D	

Explanation of Responses:

1. The SARs vest in three equal annual installments beginning on February 20, 2016. The vested and unexercised portion of the SARs, if any, shall be automatically exercised if the closing price of the issuer's common stock equals or exceeds a specified "maximum share value" equal to \$75.

2. Restricted Stock Units settle in Class A Common Stock on a 1:1 basis.

3. Restricted Stock Units vest and convert to Class A Common Stock in three equal annual installments beginning on February 20, 2016.

Remarks:

/s/ Christine A. Ness, by power of attorney 02/24/2015

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response: 0.5