SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB Number: Estimated average burden hours per response:

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * <u>MOFFETT DAVID M</u>					2. Issuer Name and Ticker or Trading Symbol <u>GENWORTH FINANCIAL INC</u> [GNW]									tionship of R all applicabl Director		Person	s) to Issuer 10% Ov	/ner	
(Last)	(Firs	,	(Middle)				3. Date of Earliest Transaction (Month/Day/Year) 09/30/2014								ve title		Other (s below)	pecify	
C/O GENWORTH FINANCIAL, INC. 6620 WEST BROAD STREET					4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Indiv	<ol> <li>Individual or Joint/Group Filing (Check Applicable Line)</li> <li>X Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting Person</li> </ol>					
(Street) RICHMOND VA (City) (State)		23230 (Zip)											Form file	d by More	than C	ne Reportin	g Person		
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
1. Title of Security (Instr. 3) 2. Tran Date (Month						2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Dis Code (Instr.			Securities Acquired (A) or sposed Of (D) (Instr. 3, 4 a			5. Amount Securities Beneficially Following	/ Owned Reported	Form	: Direct (D) lirect (l) 4)	7. Nature of Indirect Beneficial Ownership	
									v	Amount		(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				(Instr. 4)	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable an Expiration Date (Month/Day/Year)			7. Title a Securitie Derivativ 3 and 4)	ies Unde ive Secu		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisabl		xpiration ate	Title	_   N	mount or lumber of hares		(Instr. 4)				
Deferred Stock Units	(1)	09/30/2014		A		2,595.839		(1)		(1)	Class Comm Stock	ion 2	2,595.839	(2)	17,575.	854	D		

Explanation of Responses:

1. Deferred Stock Units become payable in shares of Class A Common Stock beginning one year after termination of service as a director. The Reporting Person has previously elected to receive such amount in a single payment or in payments spread out for up to 10 years.

2. The number of Deferred Stock Units acquired represents a portion of the Reporting Person's annual retainer fee and was based on a price of \$13.2905 per share of Class A Common Stock.

Remarks:

/s/ Christine A. Ness, by power of 10/02/2014 attorney

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{*}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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