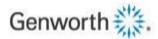
## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### FORM 8-K

CURRENT REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported): November 1, 2023



# **GENWORTH FINANCIAL, INC.**

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction of incorporation or organization)

6620 West Broad Street, Richmond, VA

(Address of principal executive offices)

001-32195 (Commission File Number) 80-0873306 (I.R.S. Employer Identification No.)

23230 (Zip Code)

(804) 281-6000 (Registrant's telephone number, including area code)

Not Applicable (Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2 below):

□ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

□ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

D Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

| Title of each class                              | Trading<br>Symbol(s) | Name of each exchange<br>on which registered |
|--|----------------------|--|
| Class A Common Stock, par value \$.001 per share | GNW                  | NYSE (New York Stock Exchange)               |

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company  $\Box$ 

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

#### Item 2.02 Results of Operations and Financial Condition.

On November 1, 2023, Enact Holdings, Inc. (Nasdaq: ACT), a publicly traded subsidiary of Genworth Financial, Inc., issued a press release announcing its financial results for the quarter ended September 30, 2023, incorporated by reference as Exhibit 99.1.

Genworth Financial, Inc. announced it would issue its earnings release for the quarter ended September 30, 2023 after market close on November 8, 2023. A conference call will be held on November 9, 2023 at 9:00 a.m. (ET) to discuss third quarter results.

The information contained in Item 2.02 of this Current Report on Form8-K (including the exhibit) is being furnished and shall not be deemed "filed" for the purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), or otherwise subject to the liabilities of that Section. The information contained in Item 2.02 of this Current Report on Form 8-K shall not be incorporated by reference into any registration statement or other document pursuant to the Securities Act of 1933, as amended, or the Exchange Act, except as shall be expressly set forth by specific reference in any such filing.

#### Item 9.01 Financial Statements and Exhibits.

The following Exhibit 99.1 is being furnished as an exhibit to this Current Report on Form8-K:

| Exhibit<br>Number | Description of Exhibit  |
|-------------------|---|
| 99.1              | Press Release of Enact Holdings, Inc. dated November 1, 2023                |
| 104               | Cover Page Interactive Data File (embedded within the Inline XBRL document) |

#### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: November 1, 2023

GENWORTH FINANCIAL, INC.

By: /s/ Cristina E. Ahn

Cristina E. Ahn Vice President and Controller (Principal Accounting Officer)