# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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CURRENT REPORT
PURSUANT TO SECTION 13 OR 15(d)
OF THE SECURITIES EXCHANGE ACT OF 1934

January 25, 2021 Date of Report (Date of earliest event reported)



## GENWORTH FINANCIAL, INC.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction of incorporation or organization) 001-32195 (Commission File Number) 80-0873306 (I.R.S. Employer Identification No.)

6620 West Broad Street, Richmond, VA (Address of principal executive offices)

or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.  $\Box$ 

23230 (Zip Code)

 $(804)\ 281\text{-}6000$  (Registrant's telephone number, including area code)

Not Applicable (Former Name or Former Address, if Changed Since Last Report)

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	ck the appropriate box below if the Form 8-K filing is inter- owing provisions (see General Instruction A.2 below):	nded to simultaneously satisfy the fili	ng obligation of the registrant under any of the			
	Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)					
	Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)					
	Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))					
	Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))					
Sec	urities registered pursuant to Section 12(b) of the Act:	Trading Symbol(s)	Name of each exchange on which registered			
Class A Common Stock, par value \$.001 per share		GNW	NYSE (New York Stock Exchange)			
	cate by check mark whether the registrant is an emerging a oter) or Rule 12b-2 of the Securities Exchange Act of 1934		05 of the Securities Act of 1933 (§230.405 of this			
Eme	erging growth company					
If at	n emerging growth company indicate by check mark if the	registrant has elected not to use the	extended transition period for complying with any new			

### Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On January 26, 2021, Genworth Financial, Inc. (the "Company") announced that Kevin D. Schneider will resign as Executive Vice President and Chief Operating Officer, effective January 26, 2021, but will remain employed with the Company in an advisory role through May 31, 2021. His resignation as an executive officer and termination of employment will trigger payments to him, vesting acceleration of certain equity awards and retirement benefits pursuant to the previously disclosed terms of the Company's Key Employee Severance Plan.

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: January 26, 2021

#### GENWORTH FINANCIAL, INC.

By: /s/ Pamela M. Harrison

Pamela M. Harrison Executive Vice President – Human Resources