SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Schneider Kevin Douglas				er Name and Ticker of North FINA					ionship of Reporting Person(s) to Issu all applicable) Director 10%		r wner			
(Last) C/O GENWORTI	(First) H FINANCIAL, INC	(Middle)		e of Earliest Transaction 5/2014	on (Montl	h/Day/	Year)		X	Officer (give title below) EVP - (Other (below)	specify		
6620 WEST BROAD STREET				4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				
(Street) RICHMOND	VA	23230								Form filed by More	than One Reporti	ng Person		
(City)	(State)	(Zip)												
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned														
Date			2. Transaction Date (Month/Day/Ye	ar) 2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities A Disposed Of (D			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
					Code V		Amount (A) or Pr (D)		Price	(Instr. 3 and 4)		(1150.4)		
Class A Common	Stock		01/16/2014		М		5,926	A	\$7.8	71,653	D			

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

F

F

2,817

1,196

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Ir 8)	tion Derivative Expiration		6. Date Exerc Expiration Da (Month/Day/)	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Stock Options (Right to Buy)	\$7.8	01/16/2014		M ⁽¹⁾			5,926	08/19/2012	05/25/2014	Class A Common Stock	5,926	\$0	23,703	D	

Explanation of Responses:

Class A Common Stock

Class A Common Stock

1. The stock option exercises reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 14, 2013.

01/16/2014

01/16/2014

Remarks:

The net shares received by the reporting person for the exercise of stock options are subject to the issuer's 9-month holding period policy.

/s/ Christine A. Ness, by power of attorney ** Signature of Reporting Person Date

\$16.41

\$16.41

D

D

68,836

67,640

D

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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