SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person				2. Issuer Name <b>and</b> Ticker or Trading Symbol GENWORTH FINANCIAL INC [ GNW ]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Schneider Kevin Douglas								<b>-</b>	_	Director	10% O	wner				
(Last) (First) (Middle)				3. Date of Earliest Transaction (Month/Day/Year) 10/16/2013							Officer (give title below)		Other (specify below)			
C/O GENWORT	TH FINANCIAL, IN	IC.									EVP - C	Genworth				
6620 WEST BROAD STREET				4. If Amendment, Date of Original Filed (Month/Day/Year) 6							6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street)										X	Form filed by One I Form filed by More		ng Person			
RICHMOND	VA	23230											One Reporting Person			
(City)	(State)	(Zip)														
		Table I - No	n-Deriva	ative S	ecurities Acq	uired,	Disp	osed of, or	<sup>.</sup> Benefi	cially Ow	ned					
Date			2. Transac Date (Month/Da		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities A Disposed Of (			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership			
						Code V		Amount (A) or Pr		Price	· Transaction(s) (Instr. 3 and 4)		(Instr. 4)			
Class A Common	n Stock		10/16/2	2013		М		5,926	Α	\$7.8	66,808	D				
Class A Common	n Stock		10/16/2	2013		F		3,534	D	\$13.08	63,274	D				

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

F

798

D

\$13.08

62,476

D

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Deriv Code (Instr. Secu 8) Acqu or Di of (D		5. Num Deriva Securi Acquir or Disp of (D) ( 4 and 5	tive ties red (A) posed (Instr. 3,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)			Owned Following Reported	Ownership	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Stock Options (Right to Buy)	\$7.8	10/16/2013		M <sup>(1)</sup>			5,926	08/19/2012	05/25/2014	Class A Common Stock	5,926	\$0	41,481	D	

Explanation of Responses:

Class A Common Stock

1. The stock option exercises reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 14, 2013.

10/16/2013

## Remarks:

The net shares received by the reporting person for the exercise of stock options are subject to the issuer's 9-month holding period policy.

<u>(s/ Christine A. Ness, by power of attorney</u> \*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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