SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL
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					ecurities A		posed of, or Be	•	wned					
(City)	(State)	(Zip)												
(Street) RICHMOND	VA	23230							Form filed by Mor	re than One Repo	rting Person			
6620 WEST BR		L, INC		4. If Ame	ndment, Date c	of Original Filed (N	onth/Day/Year)	6. Ind		e Reporting Perso	n			
(Last) (First) (Middle) C/O GENWORTH FINANCIAL, INC				3. Date of Earliest Transaction (Month/Day/Year) 09/30/2013					Officer (give title below)		r (specify			
1. Name and Address of Reporting Person [*] <u>RIEPE JAMES S</u>						ker or Trading Syr INANCIAL	nbol <u>INC</u> [GNW]	(Cheo	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner					

1. Title of Security (Instr. 3)				2. Transaction Date (Month/Day/Yea		2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction D Code (Instr.			. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported		Form: Direct (D) or Indirect (I) (Instr. 4)		neficial nership
						Code V		Amount		(A) or (D)	Price	 Transaction(s) (Instr. 3 and 4) 			(ins	str. 4)	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																
	1. Title of Derivative Security (Instr	2. Conversion		3A. Deemed Execution Date,	Transaction	Derivative	6. Date Ex Expiration	n Date		Securit	and Amo ies Unde	rlying		9. Number derivative	Ownersh	ip of	. Nature Indirect

Security (Instr. 3)	or Exercise Price of Derivative Security	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	ar) 8)		Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		(Month/Day/Year)		Derivative Security (Instr. 3 and 4)		Security (Instr. 5)	Following Reported	Form:	of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Deferred Stock Units	(1)	09/30/2013		A		4,731.669		(1)	(1)	Class A Common Stock	4,731.669	(2)	111,692.2911	D	

Explanation of Responses:

1. Deferred Stock Units become payable in shares of Class A Common Stock beginning one year after termination of service as a director. The Reporting Person has previously elected to receive such amount in a single payment or in payments spread out for up to 10 years.

2. The number of Deferred Stock Units acquired represents a portion of the Reporting Person's annual retainer fee for service as a director and as Non-Executive Chairman and was based on a price of \$12,3635 per share of Class A Common Stock.

/s/ Christine A. Ness, by power of 10/02/2013

attorney ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.