FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

| OMB APPROVAL             |           |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|
| OMB Number:              | 3235-0287 |  |  |  |  |  |
| Estimated average burden |           |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Add HIGGINS (Last)   |   | <u>. E</u>                                 | Middle)  | 3. [                            | Issuer Name and Ticker or Trading Symbol     GENWORTH FINANCIAL INC [ GNW ]      Date of Earliest Transaction (Month/Day/Year)     09/30/2013 |          |  |                     |  |                  |  |  |   | tionship of Reporting Pers<br>all applicable)<br>Director<br>Officer (give title<br>below) |   | Person( | 10% Ow<br>Other (s<br>below)                                      |   |
|--|---|--|--|---------------------------------|---|----------|--|---------------------|--|------------------|--|--|---|--|---|---------|---|---|
| C/O GENWORTH FINANCIAL, INC. 6620 WEST BROAD STREET (Street)   |   |  |  | 4. It                           | 4. If Amendment, Date of Original Filed (Month/Day/Year)  |          |  |                     |  |                  |  | 6. Indiv   | ividual or Joint/Group Filing (Check Applicable Line)  Form filed by One Reporting Person  Form filed by More than One Reporting Person |  |   |         |   |   |
| RICHMOND (City)  | VA<br>(State  |  | 3230<br>Zip)   |                                 |   |          |  |                     |  |                  |  |  |   |  |   |         |   |   |
|  |   | Т  | able I - Nor   | -Derivati                       | ve S  | ecuritie | s Acq  | uired, D            | ispo   | osed o           | f, or Bene   | fici   | ally Ow   | ned  |   |         |   |   |
| Date   |   |  |  | 2. Transaction Date (Month/Day/ | h/Day/Year) if  |          | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year)  |                     | Transaction<br>Code (Instr.<br>8)                              |                  | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a |  | and 5)  |  | es<br>ially Owned<br>ng Reported<br>tion(s)   |         | irect (I)<br>4)   | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|  |   |  |  |                                 |   |          |  | Code                | v  | Amount           | (A) (D)  |  | Price (Instr. 3 ar  |  | u 4)  |         |   |   |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |   |  |  |                                 |   |          |  |                     |  |                  |  |  |   |  |   |         |   |   |
| 1. Title of<br>Derivative<br>Security (Instr.<br>3)  | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year | Code (In                        | Transaction Code (Instr.  |          | 5. Number of<br>Derivative<br>Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and<br>5) |                     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                  |  | 7. Title and Amount of<br>Securities Underlying<br>Derivative Security (Instr.<br>3 and 4) |   |  | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s |         | Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4)                             |
|  |   |  |  | Code                            | v   | (A)      | (D)  | Date<br>Exercisable |  | xpiration<br>ate | Title  | Nu   | mount or<br>umber of<br>hares   |  | (Instr. 4)  | on(s)   |   |   |
| Deferred Stock<br>Units  | (1)   | 09/30/2013                                 |  | A                               |   | 384.195  |  | (1)                 |  | (1)              | Class A<br>Common<br>Stock                                   | 3  | 84.195  | (2)  | 384.19  | 95      | D   |   |

## **Explanation of Responses:**

- 1. Deferred Stock Units become payable in shares of Class A Common Stock beginning one year after termination of service as a director. The Reporting Person has previously elected to receive such amount in a single payment or in payments spread out for up to 10 years
- 2. The number of Deferred Stock Units acquired represents a portion of the Reporting Person's annual retainer fee and was based on a price of \$12.3635 per share of Class A Common Stock.

/s/ Christine A. Ness, by power of attorney 10/02/2013

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.