SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Perreault Michel Gilles				r Name <b>and</b> Ticker WORTH FIN	0,		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last)	(First)	(Middle)		of Earliest Transact			x	Director Officer (give title below) SVP - Chie	10% C Other below; f Risk Officer	(specify		
6620 WEST BR	· · · · · · · · · · · · · · · · · · ·		4. If Ame	endment, Date of O	riginal Filed (Mc	nth/Day/Year)	6. Indivi	dual or Joint/Group F Form filed by One		,		
(Street) RICHMOND	VA	23230						Form filed by More				
(City)	(State)	(Zip)										
		Table I - No	n-Derivative \$	Securities Acc	luired, Disp	osed of, or Beneficia	ally Ow	ned				
1 Title of Security	(Instr 3)		2 Transaction	24 Deemed	3	4 Securities Acquired (A) or		5 Amount of	6 Ownership	7 Nature of		

1. Litle of Security (Instr. 3)	Date	nth/Day/Year)	ZA: Deemed Execution Date, /Day/Year) if any (Month/Day/Year)		tion Istr.				5. Amount of Securities Beneficially Owned Following Reported	Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership	
				Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Class A Common Stock	08	8/06/2013		М		35,000	Α	\$2	57,791	D		
Class A Common Stock	08	8/06/2013		D		5,364	D	\$13.05	52,427	D		
Class A Common Stock	08	8/06/2013		F		9,810	D	\$13.05	42,617	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (In 8)		Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Stock Settled SARs	\$2	08/06/2013		М			35,000	04/01/2012	04/01/2019	Class A Common Stock	35,000	\$0	0	D	

Explanation of Responses:

## Remarks:

The net shares received by the reporting person for the exercise of stock settled stock appreciation rights are subject to the issuer's 9-month holding period policy. On April 1, 2013, pursuant to a previously announced plan to implement a holding company reorganization, Genworth Financial, Inc., a Delaware corporation (formerly named Sub XLVI, Inc.), became the successor issuer to Genworth Holdings, Inc., a Delaware corporation (formerly named Genworth Financial, Inc.).

> /s/ Michael J. McCullough, by power of attorney \*\* Signature of Reporting Person

08/07/2013 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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