SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * <u>RIEPE JAMES S</u>					2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>GENWORTH FINANCIAL INC</u> [ GNW ]							5. Relationship of Reporting Person(s) to Issuer Check all applicable) X Director 10% Owner				vner	
(Last) (First)			(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 03/28/2013							Officer (give title below)			Other (specify below)		
C/O GENWORTH FINANCIAL, INC 6620 WEST BROAD STREET				4. If A	4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(Street) RICHMOND VA			23230									Form file	d by More	than On	e Reportin	ig Person	
(City)	(State	-	(Zip)														
		1	Table I - Nor	n-Derivative	e Securities Acc	quired,	Disp	osed o	of, or E	Benefic	ially Ow	ned					
Date				2. Transaction Date (Month/Day/Ye	Execution Date,	Transaction Dispose Code (Instr.			rities Acquired (A) or ed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership	
						Code	v	Amount	(A) or (D) Pr		Price		Transaction(s) (Instr. 3 and 4)			(Instr. 4)	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date if any (Month/Day/Yea	Code (Inst		6. Date Exercisable and Expiration Date (Month/Day/Year) 7. Title and Amour Securities Underly Derivative Security 3 and 4)			rlying	8. Price of Derivative Security (Instr. 5) Beneficia Owned Following Reported Transacti		e ( s   illy   g (	10. Ownership Form: Direct (D) or Indirect I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)			

## Explanation of Responses:

(1)

1. Deferred Stock Units become payable in shares of Class A Common Stock beginning one year after termination of service as a director. The Reporting Person has previously elected to receive such amount in a single payment or in payments spread out for up to 10 years.

Date

Exercisable

(1)

(D)

5,848.83

Expiration

(1)

Title Class A

> Con mor

Stock

Date

2. The number of Deferred Stock Units acquired represents a portion of the Reporting Person's annual retainer fee for service as a director and as Non-Executive Chairman and was based on a price of \$10.0020 per share of Class A Common Stock.

## Remarks:

Deferred Stock

Units

On April 1, 2013, pursuant to a previously announced plan to implement a holding company reorganization, Genworth Financial, Inc., a Delaware corporation (formerly named Sub XLVI, Inc.), became the successor issuer to Genworth Holdings, Inc., a Delaware corporation (formerly named Genworth Financial, Inc.).

/s/ Christine A. Ness, by power of	04/01/2013
attorney	04/01/2015
** Signature of Reporting Person	Date

Signature of Reporting Person

Amount or

Number of Shares

5,848.83

(2)

(Instr. 4)

101,626.6811

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

03/28/2013

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code v (A)

A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.