SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Ш

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

		•			<b>T</b> II O I		5. Relationship of Reporting Person(s) to Issuer						
1. Name and Addres	s of Reporting Per	son		Name and Ticker of	0,				Person(s) to Issue	r			
KELLEHER	PATRICK B	RIAN	GENV	VORTH FINA	<u>ANCIAL I</u>	<u>NC</u> [ GNW ]	(Check	all applicable)					
<u>independent</u>							1	Director	10% C	wner			
				f Earliest Transactio	on (Month/Day/	Year)	x	Officer (give title		(specify			
(Last)	(First)	(Middle)	02/13/2	013				below)	below)				
C/O GENWORTH FINANCIAL, INC.								EVP - O	Genworth				
6620 WEST BRO	OAD STREET		4. If Ame	ndment, Date of Or	iginal Filed (Mo	nth/Day/Year)	6. Indivi	dual or Joint/Group F	iling (Check Appli	cable Line)			
							X	Form filed by One I	Reporting Person				
(Street)								Form filed by More	than One Reporti	na Person			
RICHMOND	VA	23230								5			
							1						
(City)	(State)	(Zip)											
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security (Instr. 3) 2. Tran				2A. Deemed	3.	4. Securities Acquired (A) o	r	5. Amount of	6. Ownership	7. Nature of			

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution Date,	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	(Instr. 3 and 4)		(1130.4)
Class A Common Stock	02/13/2013		М		4,500	Α	(1)	32,362	D	
Class A Common Stock	02/13/2013		F		1,528	D	<b>\$9.1</b>	30,834	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Deriva Code (Instr. Securi 8) Acqui or Dis		ities (Month/Day/Year) ired (A) sposed (Instr. 3,		ate	Securities Underlying		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Restricted Stock Units	(1)	02/13/2013		М			4,500	(2)	(2)	Class A Common Stock	4,500	\$0	0	D	

Explanation of Responses:

1. Restricted Stock Units settle in Class A Common Stock on a 1:1 basis.

2. Restricted Stock Units vested and converted to Class A Common Stock on 02/13/2013.

## /s/ Christine A. Ness, by power of 02/15/2013 attorney

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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