SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1	OMB APPROVAL									
	OMB Number:	3235-0287								
	Estimated average burden									
	hours per response:	0.5								

Г

1. Name and Address of Reporting Person [*] ALESIO STEVEN W					2. Issuer Name and Ticker or Trading Symbol <u>GENWORTH FINANCIAL INC</u> [GNW]									ionship of Reporting Person(s) to Issuer all applicable) Director 10% Owner				
(Last)	(Firs	,	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 09/28/2012									Officer (give title below)		Other (spe below)		
C/O GENWORTH FINANCIAL, INC. 6620 WEST BROAD STREET				4	4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Indiv X						
(Street) RICHMON	D VA		23230 (Zip)	_										Form file	d by More	than C	ne Reportir	ig Person
			Table I - Nor	n-Deriva	ative \$	Securitie	s Acc	quired, I	Disp	osed c	of, or E	Bene	icially Ow	ned				
Date					nsaction 2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Disposed Code (Instr.			ırities Acquired (A) or ed Of (D) (Instr. 3, 4 aı			5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership	
								Code	v	Amoun		(A) or (D)	Price	 Transaction(s) (Instr. 3 and 4) 				(Instr. 4)
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)			nsaction Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amoun Securities Underlyi Derivative Security 3 and 4)		derlying curity (Instr.	ng Derivative		er of e ss ally g d tion(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)			
	1		1			1					1		Amount or	1	(Instr. 4)			1

Explanation of Responses:

(1)

Deferred Stock

Units

1. Deferred Stock Units become payable in shares of Class A Common Stock beginning one year after termination of service as a director. The Reporting Person has previously elected to receive such amount in a single payment or in payments spread out for up to 10 years.

Date Exercisable

(1)

(D)

Expiration

(1)

Title

Class A

Common

Stock

Date

2. The number of Deferred Stock Units acquired represents a portion of the Reporting Person's annual retainer fee and was based on a price of \$5.5835 per share of Class A Common Stock.

5,104.325

v (A)

Code

A

/s/ Christine A. Ness, by power of 10/02/2012

(2)

34,900.21

D

attorney ** Signature of Reporting Person Date

Number of

5,104.325

Shares

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

09/28/2012

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.