SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Ш

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> McKay Scott J					2. Issuer Name and Ticker or Trading Symbol <u>GENWORTH FINANCIAL INC</u> [ GNW ]								tionship of R all applicab Director	Reporting Person le)		(s) to Issuer 10% Owner		
(Last) C/O GENWC	(First)	(I NCIAL, INC.	Middle)		3. Date o 02/14/2	of Earliest T 2012	ransact	ion (Month	/Day	y/Year)			X	Officer (g below) SVP- (		òrmat	Other (s below) ion Office	
6620 WEST I	BROAD ST	REET		Ì	4. If Ame	endment, Da	ate of O	riginal File	d (M	lonth/Day/Y	'ear)			idual or Join		• •		able Line)
(Street) RICHMOND (City)	VA (State		23230 Zip)										X			•	ng Person ne Reportin	g Person
		Т	able I - Nor	n-Deriv	ative \$	Securitie	s Acq	juired, C	)isp	posed of	f, or l	Benefi	cially Ow	ned				
Date					action Day/Year)	Execution if any	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Dispo Code (Instr.			rities Acquired (A) or ed Of (D) (Instr. 3, 4 ar			5. Amount Securities Beneficially Following	s Form: Di or Indire g Reported on(s)		Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
							Code	v	Amount		(A) or (D)	Price	(Instr. 3 and				(1150.4)	
			Table II - [ (							sed of, o onvertibl				ed			`	
Derivative Conversion Date Execution Date, T   Security (Instr. or Exercise (Month/Day/Year) if any C		Cod	ansaction Derivation ode (Instr. Securition Acquire Dispose		urities (Month/Day/ uired (A) or posed of (Instr. 3, 4			te Securities Uno		lerlying	Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)			
								Date	,	Expiration			or Number					

Explanation of Responses:

\$8.88

Stock Settled

SARs

1. The SARs vest in four equal annual installments beginning on February 14, 2013. The vested and unexercised portion of the SARs, if any, shall be automatically exercised if the closing price of the issuer's common stock equals or exceeds a specified "maximum share value" equal to \$75.

Exercisable

(1)

(D)

77.000

02/14/2022

Title

Class A

Common

Stock

Date

/s/ Rich	ard J.	Oelha	fen, J	r., by
power	of atto	rney		

of Shares

77,000

\*\* Signature of Reporting Person

02/16/2012

77.000

\$<mark>0</mark>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

02/14/2012

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

v (A)

Code

Α



Check this box if no longer subject to

Date

D