SEC Form 4

Stock Settled

Explanation of Responses:

SARs

\$12.75

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). 

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Perreault Michel Gilles					2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>GENWORTH FINANCIAL INC</u> [ GNW ]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner				
(Last) (First) (Middle) C/O GENWORTH FINANCIAL, INC.					3. Date of Earliest Transaction (Month/Day/Year) 02/09/2011								Officer (g below)	Officer (give title		Other (specify below)	
6620 WEST BROAD STREET				[	4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Indiv	<ul> <li>6. Individual or Joint/Group Filing (Check Applicable Line)</li> <li>X Form filed by One Reporting Person</li> </ul>				
(Street) RICHMOND VA 23230												Form filed by More than One Rep			ne Reportin	porting Person	
(City)	(State	•) (.	Zip)														
		Т	able I - Nor	n-Deriv	vative S	ecurities Acq	uired, l	Disp	osed of	f, or l	Benefic	ially Ow	ned				
Date					Saction 2A. Deemed Execution Dat if any (Month/Day/Year)		Transaction D Code (Instr.			Securities Acquired (A) or sposed Of (D) (Instr. 3, 4 and			5. Amount Securities Beneficially Following	y Owned Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership
							Code	v	Amount		(A) or (D)	Price	<ul> <li>Transaction(s) (Instr. 3 and 4)</li> </ul>				(Instr. 4)
						urities Acqui s, warrants, o							ed				
1. Title of Derivative Security (Instr. 3)	Derivative Conversion Date Executio Security (Instr. or Exercise (Month/Day/Year) if any		3A. Deemed Execution Date if any (Month/Day/Ye	Code (Instr.		Derivative	6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)			8. Price of Derivative Security (Instr. 5) Beneficia Owned Following Reported		e s illy g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)

Date

Exercisable

(1)

(D)

60,000

Expiration

02/09/2021

Title

Class A

Common

Stock

Date

## 1. The SARs vest in four equal annual installments beginning on February 9, 2012. The vested and unexercised portion of the SARs, if any, shall be automatically exercised if the closing price of the issuer's common stock equals or exceeds a specified "maximum share value" equal to \$75.

Code v (A)

A

/s/ Richard J. Oelhafen, Jr.,	by
nower of attorney	

Amount

Number

of Shares

60,000

or

\*\* Signature of Reporting Person

02/11/2011 Date

Reported Transaction(s)

(Instr. 4)

60,000

D

\$<mark>0</mark>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

02/09/2011

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5