SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Ш

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Roday Leon E</u>					2. Issuer Name and Ticker or Trading Symbol <u>GENWORTH FINANCIAL INC</u> [GNW]										5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) (First) (Middle) C/O GENWORTH FINANCIAL, INC.				3. Date of Earliest Transaction (Month/Day/Year) 08/19/2009									x	Officer (g below) SVP, C			Other (s below) & Secretary	. ,		
6620 WEST BROAD STREET						4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(Street) RICHMOND (City)	VA (State		3230 Zip)														•	ing Person Dine Reportin	g Person	
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
Date					h/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Disposed			ties Acquired (A) or d Of (D) (Instr. 3, 4 and 5)			5. Amount Securities Beneficially Following I Transaction	Owned or In Reported (Inst		lirect (I) . 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
									Code	v	Amount	Amount (A) or (D)		Price	(Instr. 3 and 4)					
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																				
1. Title of Derivative Security (Instr. 3)	erivative Conversion Date Execution ecurity (Instr. or Exercise (Month/Day/Year) if any			Cod	nsactio le (Inst	on D tr. S o (I	Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Cod	ie V	v (,	(A)		Date Exercisabl		Expiration Date	Title		Amount or Number of Shares		(Instr. 4)	on(s)			
Stock Settled SARs	\$7.8	08/19/2009		A		5	50,000		08/19/2013	(1)	08/19/2019	Class A Common Stock		50,000	\$ <mark>0</mark>	50,00	0	D		

Explanation of Responses:

1. The SARs vest on 8/19/2013, subject to earlier vesting in one-third increments based on the closing price of the Company's Class A Common Stock exceeding certain specified amounts (\$12.00, \$16.00 and \$20.00, respectively) for 20 consecutive trading days.

/s/ Richard J. Oelhafen, Jr., by	y.
power of attorney	_

** Signature of Reporting Person

08/21/2009

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

