SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Ш

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Joelson Ronald Peter				r Name and Ticker o WORTH FIN.	ANCL	AL I	<u>NC</u> [GNW		ationship of Reporting F k all applicable) Director	Person(s) to Issue 10% (
(Last) (First) (Middle) C/O GENWORTH FINANCIAL, INC.				of Earliest Transacti 2009	on (Mont	h/Day/	Year)	X	Officer (give title below) SVP - Chief In	below	,			
6620 WEST BROAD STREET				4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street) RICHMOND (City)	VA (State)	23230 (Zip)								X Form filed by One Reporting Person Form filed by More than One Reporting Per				
		Table I - No	n-Derivative \$	Securities Acq	uired,	Disp	osed of, or	Benefi	cially Ow	/ned				
Date			2. Transaction Date (Month/Day/Year)	Execution Date,		3. 4. Securities Acquired (A) o Transaction Disposed Of (D) (Instr. 3, 4 a) Code (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership			
					Code	v	Amount	(A) or (D)	Price	 Transaction(s) (Instr. 3 and 4) 		(Instr. 4)		
		Table II - I	Derivative Se	curities Acqui	red. Di	spos	sed of. or B	eneficia	ally Own	ed				

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)		Code (In	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	Reported	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Stock Settled SARs	\$7.8	08/19/2009		А		100,000		08/19/2013 ⁽¹⁾	08/19/2019	Class A Common Stock	100,000	\$0	100,000	D	

Explanation of Responses:

1. The SARs vest on 8/19/2013, subject to earlier vesting in one-third increments based on the closing price of the Company's Class A Common Stock exceeding certain specified amounts (\$12.00, \$16.00 and \$20.00, respectively) for 20 consecutive trading days.

/s/ Richard J. Oelhafen, Jr., by power of attorney

08/21/2009

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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