SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Ш

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Schutz Pamela S					2. Issuer Name and Ticker or Trading Symbol <u>GENWORTH FINANCIAL INC</u> [GNW]								5. Relationship of Report (Check all applicable) Director			orting Person(s) to Issuer 10% Ov			
(Last) C/O GENW	(Firs		3. Date of Earliest Transaction (Month/Day/Year) 08/19/2009							X E	Officer (give title			Other (specify below) RES - GENWORTH					
6620 WEST BROAD STREET						4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line)						
(Street) RICHMOND VA 23230												X			•	ng Person ne Reportin	g Person		
(City)	(Stat	e)	(Zip)																
		-	Table I - Nor	n-Derivativ	e Se	curities Acq	uired,	Disp	osed of	f, <mark>or</mark> B	Benefic	ially Ow	ned						
Date				2. Transaction Date (Month/Day/Ye	ear) i	Execution Date, f any	Transaction D Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and				nd 5) Securities Beneficiall Following		Form	lirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
							Code	v	Amount	(A) or (D) Pri		Price	 Transaction(s) (Instr. 3 and 4) 						
						urities Acqui s, warrants, o							ed						
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	ar) 3A. Deemed 4. Execution Date, Trai if any Cod (Month/Day/Year) 8)		n D r. S A	Derivative	6. Date Exercisable and Expiration Date (Month/Day/Year) 3 and 4)			erlying	tr. 8. Price of Derivative derivative Security (Instr. 5) Owned		e s	10. Ownership Form: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership				

	Derivative Security					Disposed of (D) (Instr. 3, 4 and 5)							Following Reported	or Indirect (I) (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Transaction(s) (Instr. 4)			
Stock Settled SARs	\$7.8	08/19/2009		A		100,000		08/19/2013 ⁽¹⁾	08/19/2019	Class A Common Stock	100,000	\$0	100,000	D	

Explanation of Responses:

1. The SARs vest on 8/19/2013, subject to earlier vesting in one-third increments based on the closing price of the Company's Class A Common Stock exceeding certain specified amounts (\$12.00, \$16.00 and \$20.00, respectively) for 20 consecutive trading days.

/s/ Richard J. Oelhafen, Jr., by power of attorney

** Signature of Reporting Person

08/21/2009

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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