SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Ш

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2. Issuer Name and Ticker or Trading Symbol <u>GENWORTH FINANCIAL INC</u> [GNW]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
FRAIZER MICHAEL D					ANCI	AL .		X	Director	10% C	wner			
(Last)	Last) (First) (Middle)			eate of Earliest Transacti	on (Mon	th/Day	/Year)	x	Officer (give title below)	Other below)	specify			
C/O GENWORTH FINANCIAL, INC.										Chairman, Pr	resident & CEC)		
6620 WEST BROAD STREET				4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street)				X Form filed by One Reporting Person Form filed by More than One Reporting Person								ng Domon		
RICHMOND	VA	23230								Form lifed by More	tilan One Report	ng Person		
(City)	(State)	(Zip)												
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned														
		2. Transaction Date (Month/Day/Y	Execution Date, Transaction Disposed Of (D) (Instr. 3, 4 and			5. Amount of Securities Beneficially Owned Following Reported	Form: Direct (D) Indi or Indirect (I) Ber (Instr. 4) Ow	7. Nature of Indirect Beneficial Ownership						
					Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Class A Common	Stock		09/12/200	08	М		33,913	A	(1)	418,003	D			
Class A Common	Stock		09/12/200	08	F		12,318	D	\$15.05	405,685	D			
Class A Common	Stock									400,000	I	By GRAT		
		Table II -	Derivative	Securities Acqui	red. D	ispo	sed of. or E	Benefici	ally Own	ed				

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Restricted Stock Units	(1)	09/12/2008		М			33,913	(2)	(2)	Class A Common Stock	33,913	\$0	0	D	

Explanation of Responses:

1. Restricted Stock Units settle in Class A Common Stock on a 1:1 basis.

2. Restricted Stock Units reported on this Form 4 vested and converted to Class A Common Stock on 9/12/2008.

/s/ Richard J. Oelhafen, Jr., by 09/16/2008 power of attorney

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

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