SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person <sup>*</sup><br>Roday Leon E |                             |              |  | 2. Issuer Name and Ticker or Trading Symbol <u>GENWORTH FINANCIAL INC</u> [GNW] |        |              |                                    |        |            | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>Director 10% Owner    |   |   |  |  |
|--|-----------------------------|--------------|--|---|--------|--------------|------------------------------------|--------|------------|---|---|---|--|--|
| (Last)<br>C/O GENWORTH   | (First)<br>H FINANCIAL, ING | (Middle)     |  | 3. Date of Earliest Transaction (Month/Day/Year)<br>02/13/2008                  |        |              |                                    |        |            | Officer (give title<br>below)<br>SVP, Gen. Cou  | (specify<br>ry  |   |  |  |
| 6620 WEST BROAD STREET   |                             |              |  | 4. If Amendment, Date of Original Filed (Month/Day/Year)                        |        |              |                                    |        |            | 6. Individual or Joint/Group Filing (Check Applicable Line)<br>X Form filed by One Reporting Person |   |   |  |  |
| (Street)   |                             |              |  |   |        |              |                                    |        |            | Form filed by More than One Reporting Person  |   |   |  |  |
| RICHMOND   | VA                          | 23230        |  |   |        |              |                                    |        |            |   |   |   |  |  |
| (City)   | (State)                     | (Zip)        |  |   |        |              |                                    |        |            |   |   |   |  |  |
|  |                             | Table I - No | n-Derivative S                             | ecurities Acq   | uired, | Disp         | osed of, or                        | Benefi | cially Ow  | rned  |   |   |  |  |
| Date   |                             |              | 2. Transaction<br>Date<br>(Month/Day/Year) | Execution Date,   |        | tion<br>str. | 4. Securities Ad<br>Disposed Of (D |        |            | 5. Amount of<br>Securities<br>Beneficially Owned<br>Following Reported                              | 6. Ownership<br>Form: Direct (D)<br>or Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership |  |  |
|  |                             |              | Code V Amount (A) or<br>(D)                |   |        | Price        | Transaction(s)<br>(Instr. 3 and 4) |        | (Instr. 4) |   |   |   |  |  |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security (Instr.<br>3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code (Instr.<br>8) |   | 5. Number of<br>Derivative<br>Securities<br>Acquired (A) or<br>Disposed of<br>(D) (Instr. 3, 4<br>and 5) |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Securities Underlying<br>Derivative Security (Instr.<br>3 and 4) |                                     | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|---|---|--|-----|--|--------------------|--|-------------------------------------|---|--|--|---------------------------------------|
|   |   |  |   | Code                                    | v | (A)  | (D) | Date<br>Exercisable  | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of Shares |   | Transaction(s)<br>(Instr. 4)   |  |                                       |
| Restricted Stock<br>Units                           | (1)   | 02/13/2008                                 |   | A                                       |   | 6,500  |     | (2)  | (2)                | Class A<br>Common<br>Stock   | 6,500                               | \$ <u>0</u>   | 6,500  | D  |                                       |
| Stock Settled<br>SARs                               | \$22.8  | 02/13/2008                                 |   | A                                       |   | 45,500   |     | (3)  | 02/13/2018         | Class A<br>Common<br>Stock   | 45,500                              | \$0   | 45,500   | D  |                                       |

Explanation of Responses:

1. Restricted Stock Units settle in Class A Common Stock on a 1:1 basis.

2. Restricted Stock Units reported on this Form 4 vest and convert to Class A Common Stock: 50% on 2/13/2011 and 50% on 2/13/2013.

3. Vests in 20% annual increments beginning on 2/13/2009.

| /s/ Richard J. Oelhafen, Jr., |
|-------------------------------|
| Attorney_in_Eact              |

\*\* Signature of Reporting Person

02/15/2008

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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