SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] LINDQUIST SCOTT R						2. Issuer Name and Ticker or Trading Symbol <u>GENWORTH FINANCIAL INC</u> [GNW]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) (First) (Middle) C/O GENWORTH FINANCIAL, INC.					3. Date of Earliest Transaction (Month/Day/Year) 07/31/2007								x	X Officer (give title Other (specify below) Vice President and Controller						
6620 WEST BROAD STREET						4. If Amendment, Date of Original Filed (Month/Day/Year)									 Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person 					
(Street) RICHMOND VA (City) (State)			23230 (Zip)											X		•	•	ing Person One Reportin	g Person	
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																				
Date					h/Day/Year)		2A. Deemed Execution Date if any (Month/Day/Ye		Transaction Disp Code (Instr.			curities Acquired (A) or used Of (D) (Instr. 3, 4 and			5. Amount Securities Beneficially Following Transactio	y Owned or I Reported (Ins		nership : Direct (D) direct (I) : 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
									Code	v	Amount	Amount (A) or (D)		Price		nstr. 3 and 4)			(1150.4)	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date if any (Month/Day/Ye	C C	Transaction Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
				Ca	ode	v	(A)	(D)	Date Exercisat		Expiration Date	Title		Amount or Number of Shares		(Instr. 4)				
Restricted Stock Units	(1)	07/31/2007			A		2,067		(2)		(2)	Class A Commo Stock	on	2,067	(1)	2,06	7	D		

(3)

07/31/2017

Explanation of Responses:

Stock Options

1. Restricted Stock Units settle in Class A Common Stock on a 1:1 basis.

2. Restricted Stock Units reported on this Form 4 vest and convert to Class A Common Stock: 50% on 7/31/2010 and 50% on 7/31/2012.

A

3. Vests in 20% annual increments beginning on 7/31/2008.

\$30.52

/s/ Richard J. Oelhafen, Jr., Attorney-in-Fact

9,300

\$<mark>0</mark>

Class A Common Stock

** Signature of Reporting Person

Date

08/02/2007

9,300

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

07/31/2007

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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