SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Moses Victor C				2. Issuer Name and Ticker or Trading Symbol <u>GENWORTH FINANCIAL INC</u> [GNW]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner			
(Last) C/O GENWORT	(First) H FINANCIAL, IN	(Middle)	3. Date of 07/31/20	f Earliest Transactio	on (Month/Day/	Year)	Х	Officer (give title below) SVP - Actu	Other (below) aarial & Risk	specify	
6620 WEST BROAD STREET			4. If Ame	4. If Amendment, Date of Original Filed (Month/Day/Year)				6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person			
(Street) RICHMOND	VA	23230						Form filed by More	than One Reporti	ng Person	
(City)	(State)	(Zip)									
		Table I - No	n-Derivative S	ecurities Acq	uired, Disp	osed of, or Beneficia	lly Ow	ned			
1. Title of Security (I	nstr. 3)		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	

(A) or (D) (Instr. 3 and 4) Code v Price Amount Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 5. Number of 3A. Deemed Execution Date, 8. Price of Derivative 11. Nature of Indirect 1 Title of 3. Transaction 6. Date Exercisable and 7. Title and Amount of 9. Number of 10 Derivative Conversion Date Transaction Derivative Expiration Date Securities Underlying derivative Ownership Security (Instr. or Exercise (Month/Dav/Year) if any (Month/Day/Year) Code (Instr. Securities (Month/Day/Year) Derivative Security (Instr. Security Securities Form: Beneficial Direct (D) 3) Price of 8) Acquired (A) o 3 and 4) (Instr. 5) Beneficially Ownership Derivative Disposed of (D) (Instr. 3, 4 Owned Following or Indirect (I) (Instr. 4) (Instr. 4) Security and 5) Reported Transaction(s) Amount (Instr. 4) Date Expiration Number (A) (D) Title of Shares Code v Exercisable . Date Class A Restricted Stock 07/31/2007 (1) Α 4.450 (2) (2) Common 4.450 4.450 D Units Stock Class A Stock Settled \$30.52 07/31/2007 31,150 (3) 07/31/2017 31,150 \$<mark>0</mark> 31,150 D A Commor SARs Stock

Explanation of Responses:

1. Restricted Stock Units settle in Class A Common Stock on a 1:1 basis.

2. Restricted Stock Units reported on this Form 4 vest and convert to Class A Common Stock: 50% on 7/31/2010 and 50% on 7/31/2012.

3. Vests in 20% annual increments beginning on 7/31/2008.

/s/ Richard J. Oelhafen, Jr.,
Attorney-in-Fact

** Signature of Reporting Person

08/02/2007

Date

Transaction(s)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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OMB APPROVAL

(Instr. 4)