SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
(City)	(State)	(Zip)					
(Street) RICHMOND	VA	23230		X	Form filed by One Rep Form filed by More tha	orting Person n One Reporting Person	
6620 WEST BROAD STREET			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indivi	6. Individual or Joint/Group Filing (Check Applicable Line)		
C/O GENWORTH FINANCIAL, INC.					Chairman, President & CEO		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 02/06/2007	X	Officer (give title below)	Other (specify below)	
1. Name and Address of Reporting Person [*] FRAIZER MICHAEL D			2. Issuer Name and Ticker or Trading Symbol <u>GENWORTH FINANCIAL INC</u> [GNW]	(Check	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner		

1. Title of Security (Instr. 3) 2 Transaction 2A Deemed 4. Securities Acquired (A) or Disposed 5 Amount of 6 Ownership 7 Nature of Execution Date Transaction Of (D) (Instr. 3, 4 and 5) Form: Direct (D) ecurities Indirect Date (Month/Dav/Year) Beneficial if any Code (Instr. Beneficially Owned or Indirect (I) (Month/Day/Year) 8) Following Reported (Instr. 4) Ownership Transaction(s) (Instr. 4) (A) or (Instr. 3 and 4) v Price Code Amount (D) М 475,000 Class A Common Stock 02/06/2007 Α \$19.5 1,037,719 D D Class A Common Stock 02/06/2007 256,260 D \$36.145 781,459 D Class A Common Stock 02/06/2007 F 89,629 D \$36.145 691,830 D 02/06/2007 М Class A Common Stock 313 039 Α \$27 9518 1.004.869 D Class A Common Stock 02/06/2007 S 274,225 D \$36.0225(1) 730,644 D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3. Transaction 3A. Deemed 5. Number of 6. Date Exercisable and 7. Title and Amount of 8. Price of 9. Number of 11. Nature 10. Derivative Conversion Date Execution Date Transaction Derivative Expiration Date Securities Underlying Derivative derivative Ownership of Indirect (Month/Day/Year) Beneficial Security (Instr. or Exercise (Month/Dav/Year) if any Code (Instr. Securities Derivative Security (Instr. Security Securities Form: 3) Price of (Month/Dav/Year) 8) Acquired (A) or 3 and 4) (Instr. 5) Beneficially Direct (D) Ownership Derivative Disposed of (D) Owned or Indirect (Instr. 4) Security (Instr. 3, 4 and Following (I) (Instr. 4) **5**) Reported Transaction(s Amount or (Instr. 4) Date Expiration Number of Code v (A) (D) Exercisable Date Title Shares Stock Class A \$19.5 02/06/2007 м 475,000 09/25/2014 475 000 (3) 1,425,000 D Appreciation Commor Right Stock Class A Stock Option \$27.9518 02/06/2007 м 313.039 (4) 07/26/2011 313,039 (3) 0 D Commor (right to buy) Stock

Explanation of Responses:

1. Price indicated is the weighted average sales price as a result of a series of broker-assisted transactions ranging in price from \$35.86 to \$36.11 per share.

2. Vested 25% on 5/25/2006; remainder vests 25% on 5/25/2007, 5/25/2008 and 5/25/2009.

3. Not Applicable.

4. Vested 50% on 9/26/2004 and 50% on 9/26/2006

Remarks:

The sales reported on this Form 4 were in connection with a broker-assisted cashless exercise of a stock option (which was previously converted from a stock option grant by General Electric Company) to cover the aggregate exercise price and applicable tax withholding. The net shares received by the reporting person for exercise of stock options and stock settled stock appreciation rights are subject to the issuer's 9-month holding period policy.

/s/ Richard J. Oelhafen, Jr.,	02/08	
Attorney-in-Fact	02/00	
** Signature of Reporting Person	Date	

** Signature of Reporting Person

8/2007

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.