SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Ш

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* MARSICO SAMUEL DOMINICK				2. Issuer Name and Ticker or Trading Symbol <u>GENWORTH FINANCIAL INC</u> [GNW]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) (Middle)				3. Date of Earliest Transaction (Month/Day/Year) 08/09/2006							Director Officer (give title below)	10% C Other below)	(specify		
C/O GENWORTH FINANCIAL, INC.											SVP - Chief Risk Officer				
6620 WEST BROAD STREET				4. If Amendment, Date of Original Filed (Month/Day/Year)					6. Indiv	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(Street)											Form filed by More		ng Person		
RICHMOND	VA	23230													
(City)	(State)	(Zip)													
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned															
1. Title of Security (Instr. 3) 2. Trans Date (Month)					2A. Deemed Execution Date, if any (Month/Day/Year)	y Transaction Disposed Of (D) (Instr. 3, 4 Code (Instr.			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership				
						Code V		Amount	(A) or (D) Price		(Instr. 3 and 4)		(Instr. 4)		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Restricted Stock Unit	(1)	08/09/2006		A		2,600		(2)	(2)	Class A Common Stock	2,600	(3)	2,600	D	
Stock Settled SAR	\$34.13	08/09/2006		A		18,200		(4)	08/09/2016	Class A Common Stock	18,200	(3)	18,200	D	

Explanation of Responses:

1. Restricted Stock Unit settles in Class A Common Stock on a 1:1 basis.

2. Vested 50% 8/9/2009; vests 50% 8/9/2011; no expiration date.

3. Not Applicable.

4. Vests in 20% annual increments beginning on 8/9/2007.

/s/ Richard J. Oelhafen, Jr.,	
Attorney-in -Fact	

** Signature of Reporting Person

08/11/2006

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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3235-0287 0.5

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