SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Pehota Joseph				2. Issuer Name and Ticker or Trading Symbol <u>GENWORTH FINANCIAL INC</u> [ GNW ]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Ow			vner		
(Last) C/O GENWO	(First)	) ( NCIAL, INC.	Middle)		3. Date of Earliest Transaction (Month/Day/Year) 08/09/2006								Officer (g below) SVP		ss De	Other (s below) velopment	
6620 WEST BROAD STREET					4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				
(Street) RICHMOND	) VA	2	23230												•	ing Person )ne Reportir	g Person
(City)	(State	;) (.	Zip)														
		T	able I - Nor	n-Deri	vative S	ecurities Acq	uired,	Disp	osed o	f, or E	Benefic	cially Ow	/ned				
Date					saction 2A. Deemed Execution Date, if any (Month/Day/Year		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a				and 5) Securities Beneficial Following		Form	nership : Direct (D) lirect (I) : 4)	7. Nature of Indirect Beneficial Ownership
							Code	v	Amount		(A) or (D)	Price	<ul> <li>Transaction(s) (Instr. 3 and 4)</li> </ul>				(Instr. 4)
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative Security (Instr.	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date if any	cution Date, Tra y Cod		5. Number of Derivative Securities	6. Date Exercisable and Expiration Date (Month/Day/Year) Contection Contectio			erlying	8. Price of Derivative Security	9. Numbe derivative Securitie	e s	10. Ownership Form:	11. Nature of Indirect Beneficial		

3)	Price of Derivative Security	(wonth/Day/rear)	(Month/Day/Year)	8)			3 and 4)	uniy (instr.	(Instr. 5)	Owned Following Reported		Ownership (Instr. 4)				
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)			
Restricted Stock Unit	(1)	08/09/2006		A		3,500		(2)	(2)	Class A Common Stock	3,500	(3)	3,500	D		
Stock Settled SAR	\$34.13	08/09/2006		A		24,500		(4)	08/09/2016	Class A Common Stock	24,500	(3)	24,500	D		

Explanation of Responses:

1. Restricted Stock Unit settles in Class A Common Stock on a 1:1 basis.

2. Vests 50% 8/9/2009; vests 50% 8/9/2011; no expiration date.

3. Not applicable.

4. Vests in 20% annual increments beginning on 8/9/2007.

/s/	Richard	J.	Oelhafen,	Jr.,	
Δt	tornev_i	n_F	Ract		

\*\* Signature of Reporting Person

08/11/2006

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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