SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). 

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| (Last)<br>C/O GENWOR<br>6620 WEST BI |   | ) (  |               |                        | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br><u>GENWORTH FINANCIAL INC</u> [ GNW ]              |  |   |        |        |   |         |             | 1  | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>X Director 10% Owner             |   |   |  |   |  |
|--------------------------------------|---|--|---------------|------------------------|--|--|---|--------|--------|---|---------|-------------|--|--|---|---|--|---|--|
| 6620 WEST BI                         |   |  |               |                        | 3. Date of Earliest Transaction (Month/Day/Year)<br>05/10/2005   |  |   |        |        |   |         |             | X  | Officer (gi<br>below)  |   | Other (specify<br>below)  |  | pecify  |  |
| -                                    | 6620 WEST BROAD STREET  |  |               |                        |  | 4. If Amendment, Date of Original Filed (Month/Day/Year) |   |        |        |   |         |             |  | 6. Individual or Joint/Group Filing (Check Applicable Line)  |   |   |  |   |  |
| (Street)<br>RICHMOND, VA 23230       |   |  |               |                        |  |  |   |        |        |   |         | X           | Form filed by One Reporting Person<br>Form filed by More than One Reporting Person |  |   |   | g Person   |   |  |
| (City)                               | (State  | e) (   | Zip)          |                        |  |  |   |        |        |   |         |             |  |  |   |   |  |   |  |
|                                      |   | ٦  | ſable I - Nor | -Deriva                | tive S   | ecuri  | ties Acc  | uired, | Disp   | osed o  | f, or B | enefi       | cially Ow  | ned  |   |   |  |   |  |
| Date                                 |   |  |               |                        | e Exe<br>nth/Day/Year) if ar   |  | emed<br>tion Date,<br>n/Day/Year)   |        |        | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and |         |             |  | 5. Amount<br>Securities<br>Beneficially<br>Following F   | / Owned<br>Reported   | 6. Ownership<br>Form: Direct (D)<br>or Indirect (I)<br>(Instr. 4) |  | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership |  |
|                                      |   |  |               |                        |  |  |   |        | v      | Amount  |         | A) or<br>D) | Price  | <ul> <li>Transaction(s)<br/>(Instr. 3 and 4)</li> </ul>  |   |   |  | (Instr. 4)  |  |
| Class A Common Stock 05/10           |   |  |               | 05/10/2                | 0/2005   |  |   | М      |        | 140,868   |         | Α           | \$6.8016   | 156,735  |   |   | D  |   |  |
| Class A Common Stock 05/10           |   |  |               | 05/10/2                | 10/2005  |  |   | М      |        | 40,000  |         | Α           | \$9.4104   | 196,735  |   | D   |  |   |  |
| Class A Common Stock 05/10           |   |  |               | 05/10/2                | )/2005   |  | F   |        | 56,029 |   | D       | \$27.745    | 140,706  |  |   | D   |  |   |  |
|                                      |   |  | Table II - D  | )erivativ<br>e.g., put |  |  |   |        |        |   |         |             |  | ed   |   |   |  |   |  |
| Security (Instr. or<br>3) P<br>D     | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | ercise (Month/Day/Year) if any Co<br>of (Month/Day/Year) 8)<br>ative |               | , Transa<br>Code (     | 5. Number of<br>Derivative<br>Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and<br>5) |  | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year)<br>Date Expiration |        | ır)    |   |         | erlying     | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)                                | 9. Numbe<br>derivative<br>Securities<br>Beneficia<br>Owned<br>Following<br>Reported<br>Transacti<br>(Instr. 4) | e Ownershi<br>s Form:<br>ally Direct (D)<br>or Indirec<br>g (I) (Instr. 4 |   | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |   |  |

Explanation of Responses:

\$6.8016

\$9.4104

1. Immediately

Stock Option

(right to buy)

Stock Option

(right to buy)

2. Not applicable

/s/ Richard J. Oelhafen Jr., Attorney-in-Fact

Class A

Common Stock

Class A

Common

Stock

05/12/2005 Date

0

129,041

D

D

\*\* Signature of Reporting Person

140,868

40,000

(2)

(2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

05/10/2005

05/10/2005

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

140,868

40,000

(1)

(1)

09/15/2005

09/13/2006

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